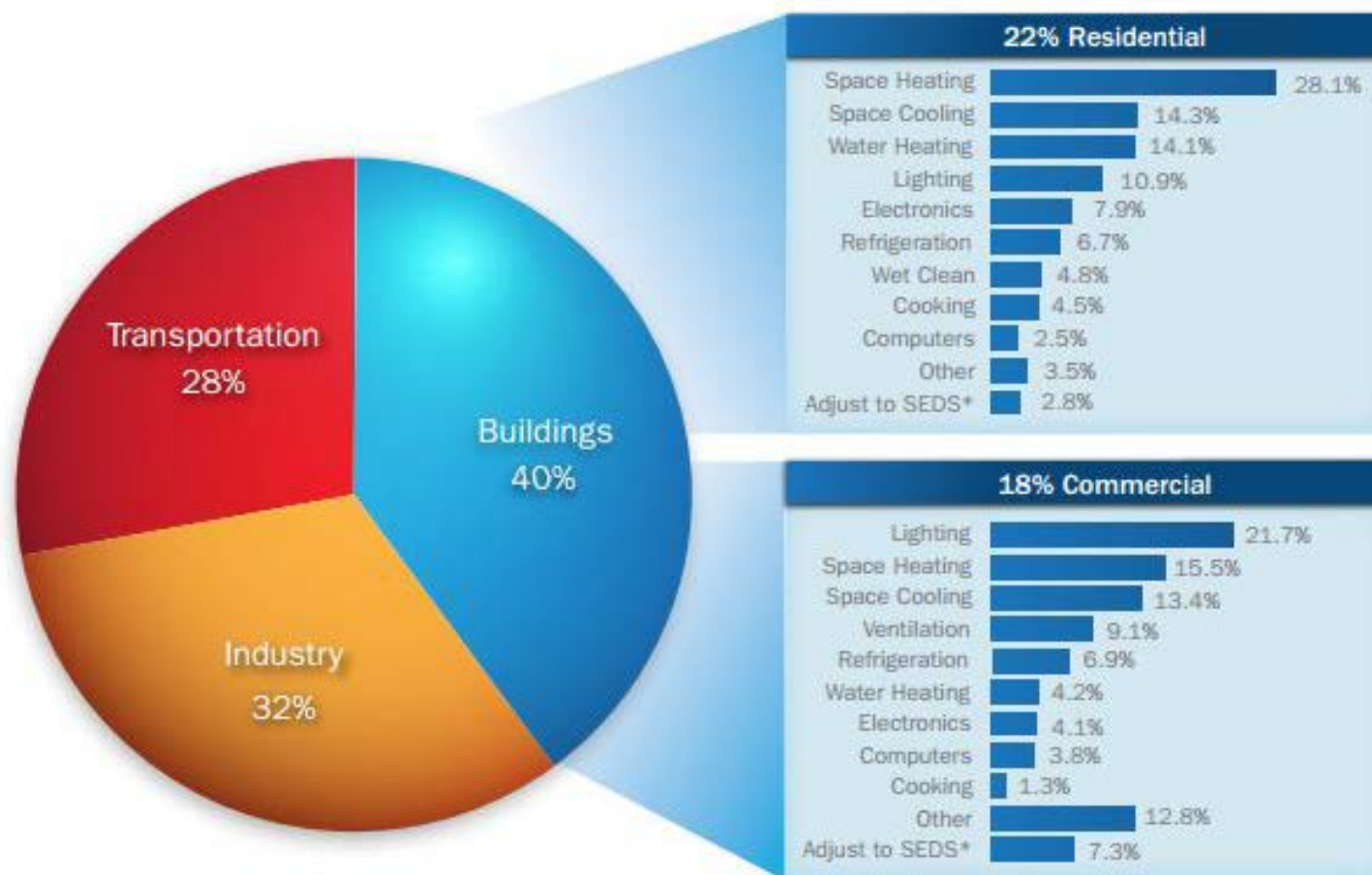


Computational Sustainability: Smart Buildings

CS 325: Topics in Computational Sustainability, Spring 2016

Manish Marwah
Senior Research Scientist
Hewlett Packard Labs
manish.marwah@hpe.com

Building Energy Use



* Energy adjustment EIA uses to relieve discrepancies between data sources. Energy attributes to the commercial buildings sector, but not directly to specific end-users.

Buildings consume 40% of primary energy. Of that, 22% is consumed in residential buildings (dominated by space heating) and 18% in commercial buildings (dominated by lighting).¹⁴⁵

Building Energy Management

Buildings consume a lot of energy

- Commercial buildings
 - 1.3 trillion kWh electricity annually → 1/3 of total US electricity generation
- Annual energy costs > \$100 billion

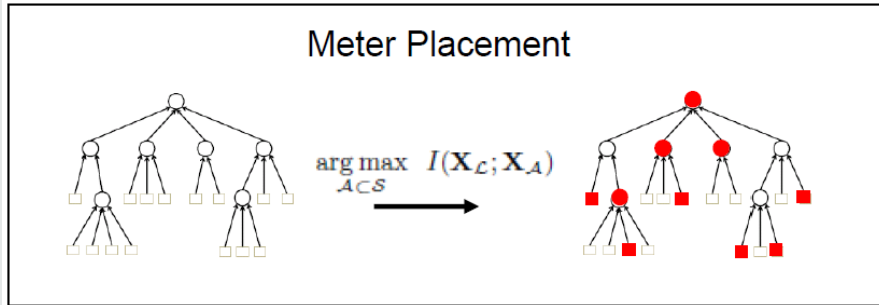
**Poorly maintained, degraded,
and improperly controlled
equipment wastes 15-30%
energy in commercial buildings**



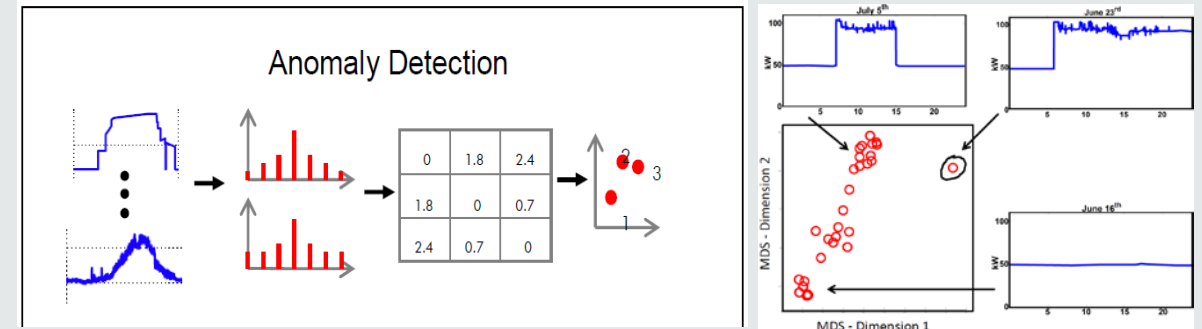
Outline

- Meter placement
- Anomaly detection
- Occupancy Modeling
- Energy Disaggregation

Where should meters be installed?



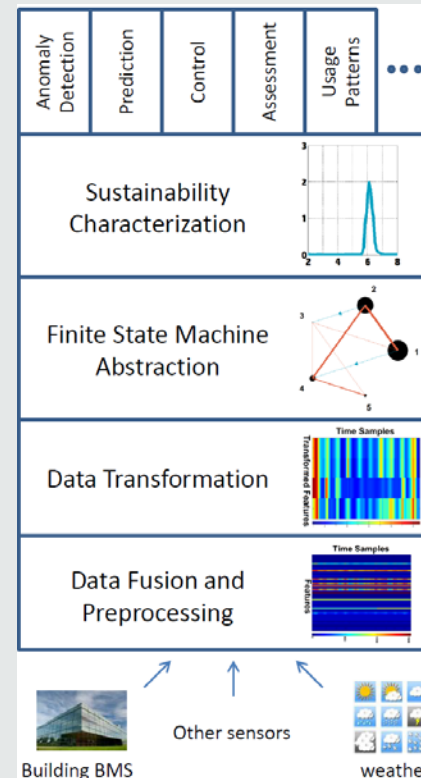
How can we detect anomalous power consumption behavior?



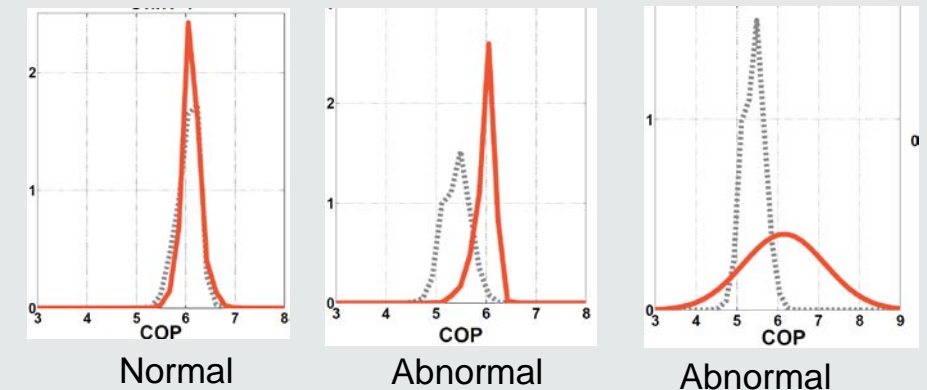
How can we detect degraded performance of equipment/devices in a buildings?

Ref.: KDD 2012, ACM BuildSys 2011, 2012

5

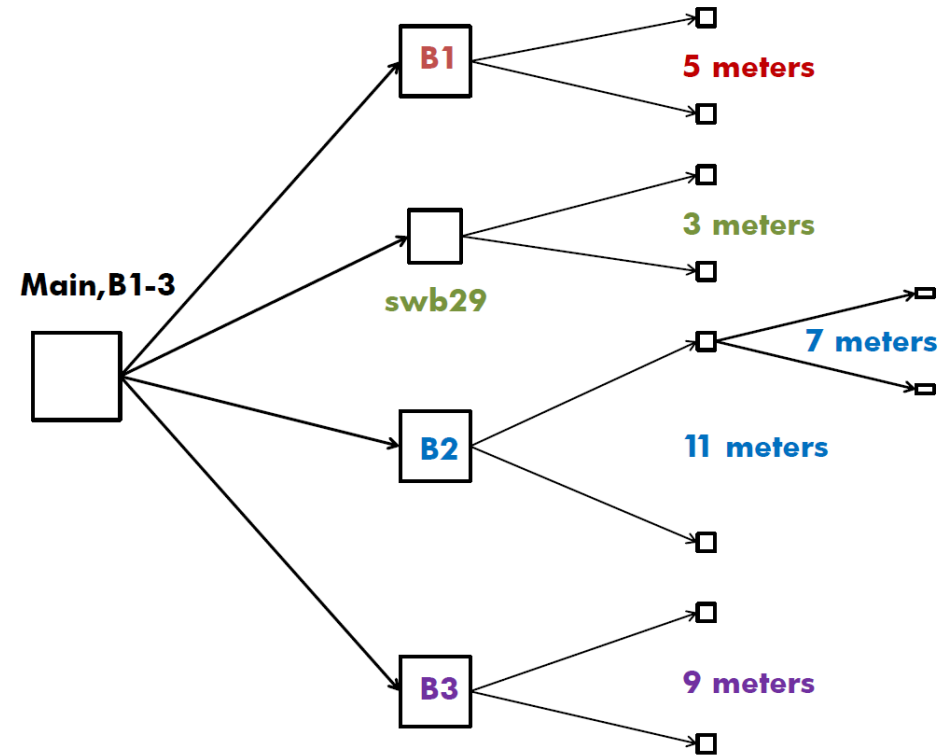


How can we cheaply measure building occupancy?



Test Bed

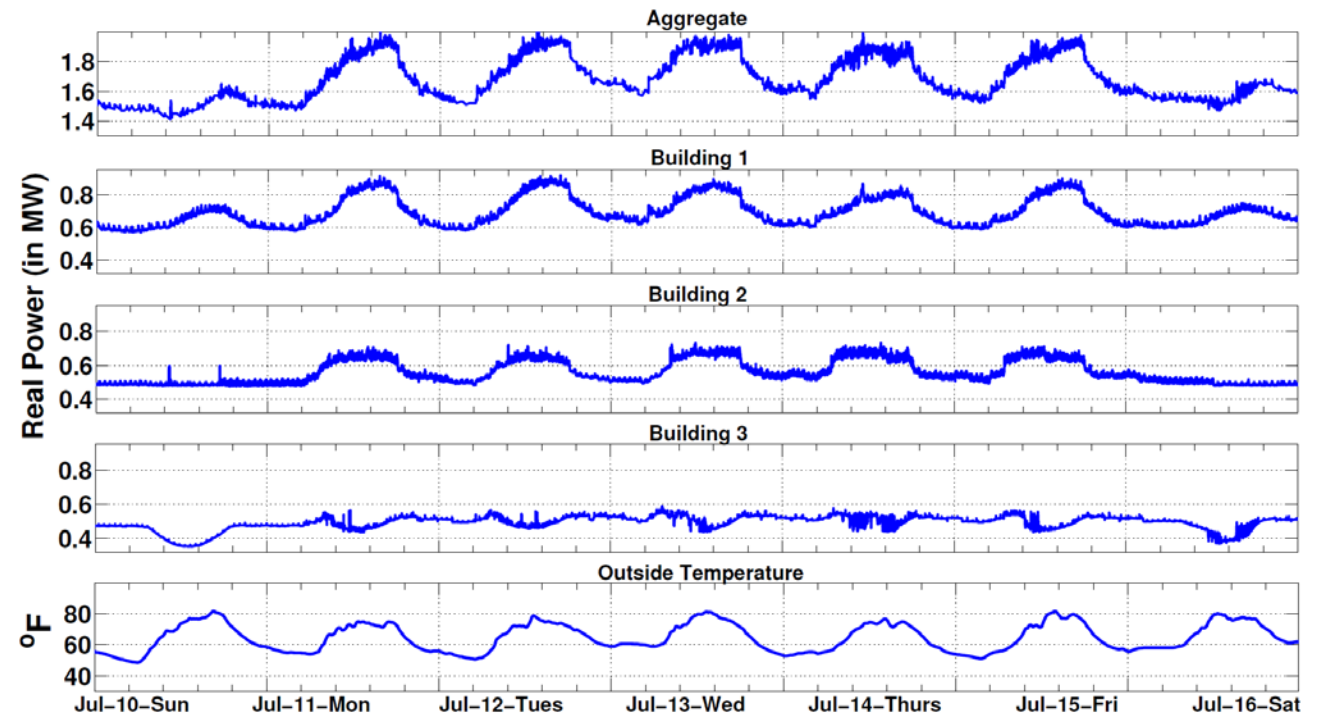
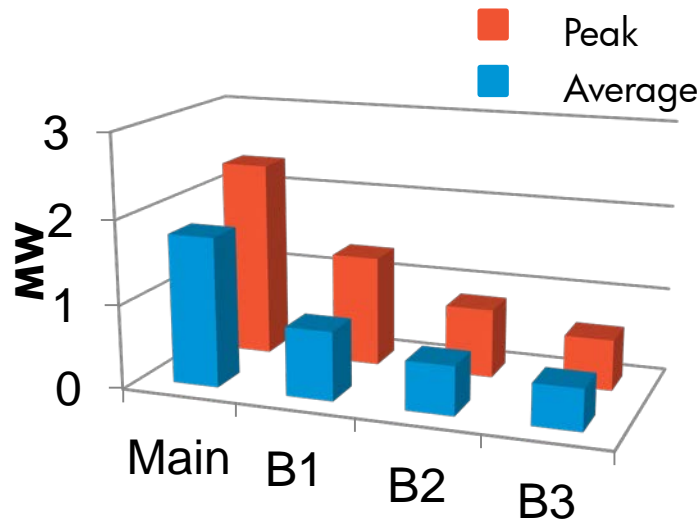
- HP Labs, Palo Alto, CA campus
- Three buildings instrumented with ~40 power meters



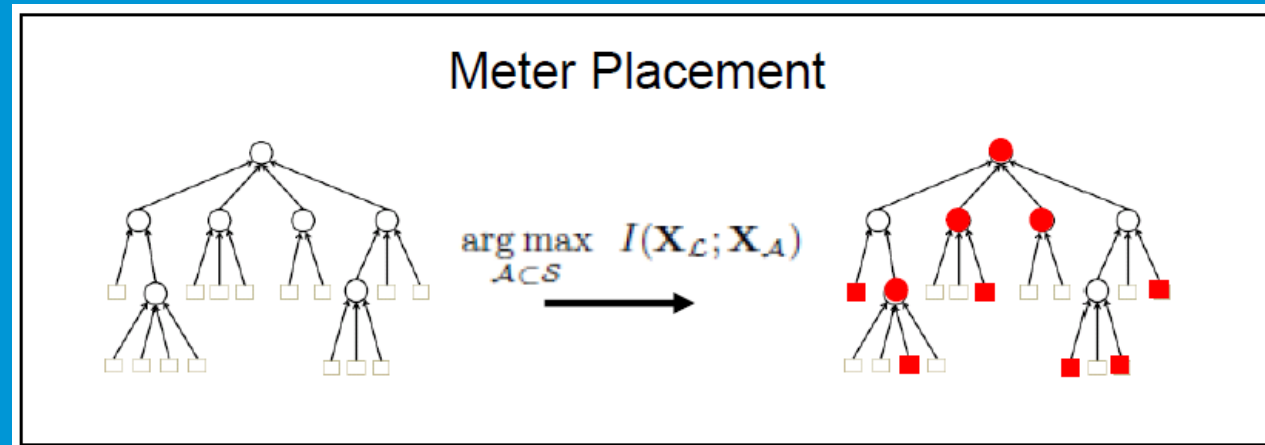
Electrical Infrastructure Topology

Campus Power Use

- Power consumption characteristics of Buildings 1, 2 and 3
- Building 3 has a 135kW PV array



Where do I place the meters?



Building Power Instrumentation

Motivation: Obtain per-panel power consumption

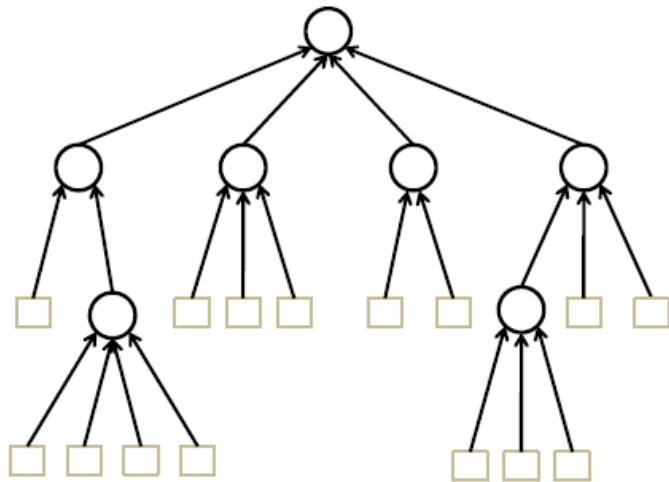
Challenge: Large number of panels, each power meter: \$1K-\$3K

Goal: Select optimal locations for meter deployment

Approach: Formulate as an optimization problem over panel hierarchy (a tree structure)

Panel Topology & Problem Formulation

Panel Topology



- Panel feeding multiple sub-panels
- Panel feeding load(s)

Problem Formulation

Select k meters:

$$\arg \max_{\mathcal{A} \subset \mathcal{S}} I(X_{\mathcal{L}}; X_{\mathcal{A}})$$
$$\text{s.t. } |\mathcal{A}| \leq k$$

\mathcal{X} : Set of meters

\mathcal{S} : Set of all possible locations ○ & □

\mathcal{L} : Set of all leaf locations □

\mathcal{A} : Selected locations

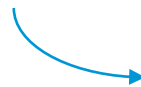
Greedy, Near-optimal Solution

- Optimal solution is **NP-hard**
- **Greedy optimization:** Select panels sequentially

$$j^* = \arg \max_{j \notin \mathcal{A}} I(\mathbf{X}_{\mathcal{L}}; \mathbf{X}_{\mathcal{A} \cup j}) - I(\mathbf{X}_{\mathcal{L}}; \mathbf{X}_{\mathcal{A}}).$$

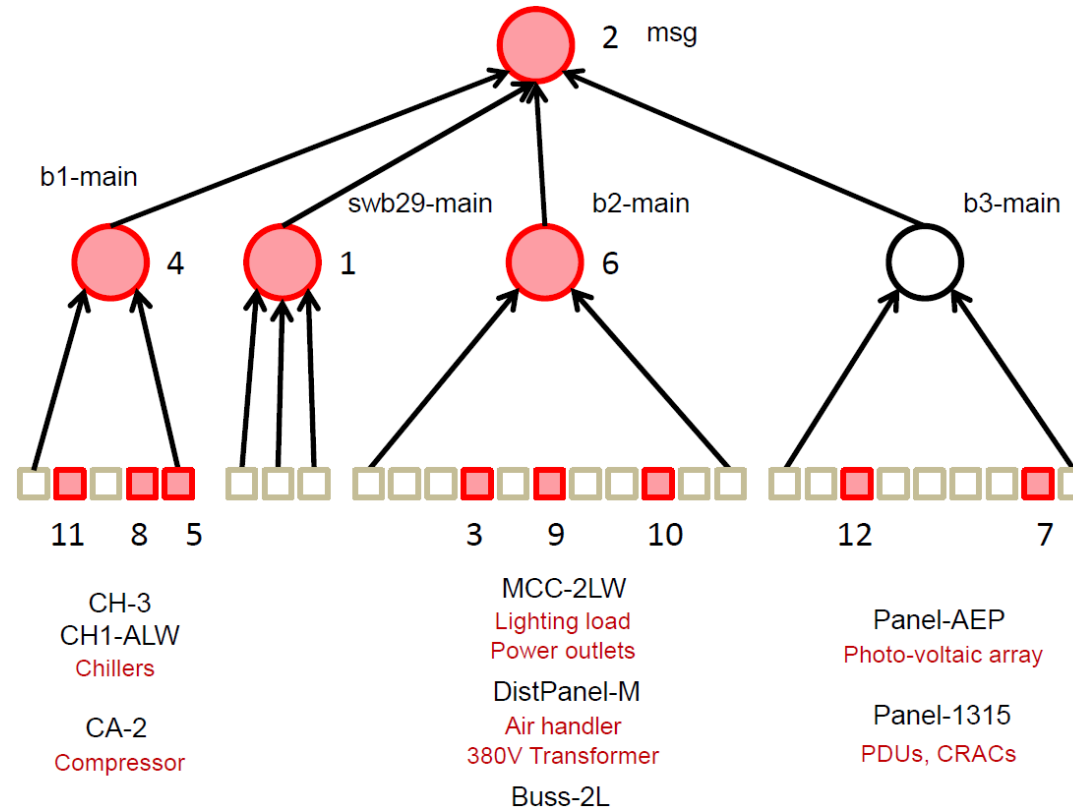
- We show objective function is **submodular** [KDD 2012]
- Thus, solution is guaranteed to be **near-optimal** [Krause *et al.* 2006]

$$I(\mathbf{X}_{\mathcal{L}}; \mathbf{X}_{\mathcal{A}_{\text{greedy}}}) \geq \left(1 - \frac{1}{e}\right) I(\mathbf{X}_{\mathcal{L}}; \mathbf{X}_{\mathcal{A}_{\text{opt}}})$$

 ~63%

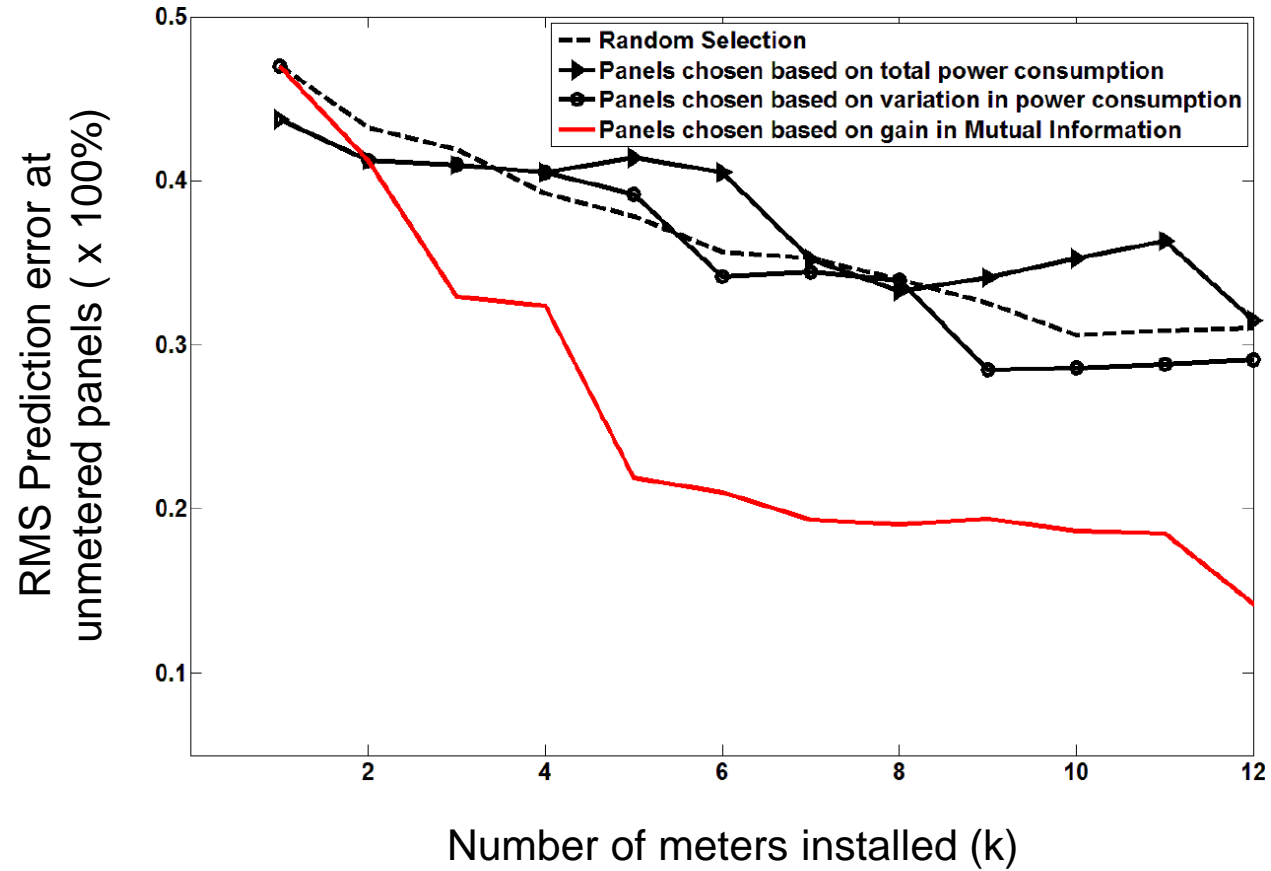
Experimental Results

Panels Selected for $k = 12$



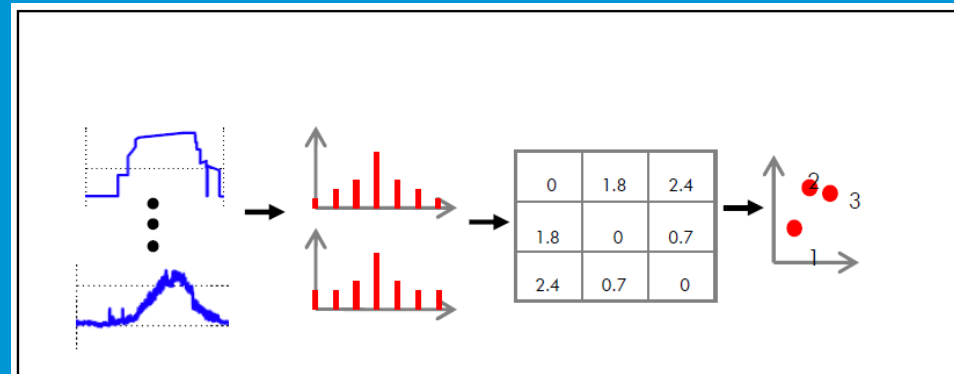
Experiment Results

Prediction ability of the panels selected using the proposed approach



Building Power Management

Meter Anomaly Detection



Anomaly Detection

Motivation:

- Abnormal power usage may indicate:
 - wasted power
 - Failed or faulty equipment

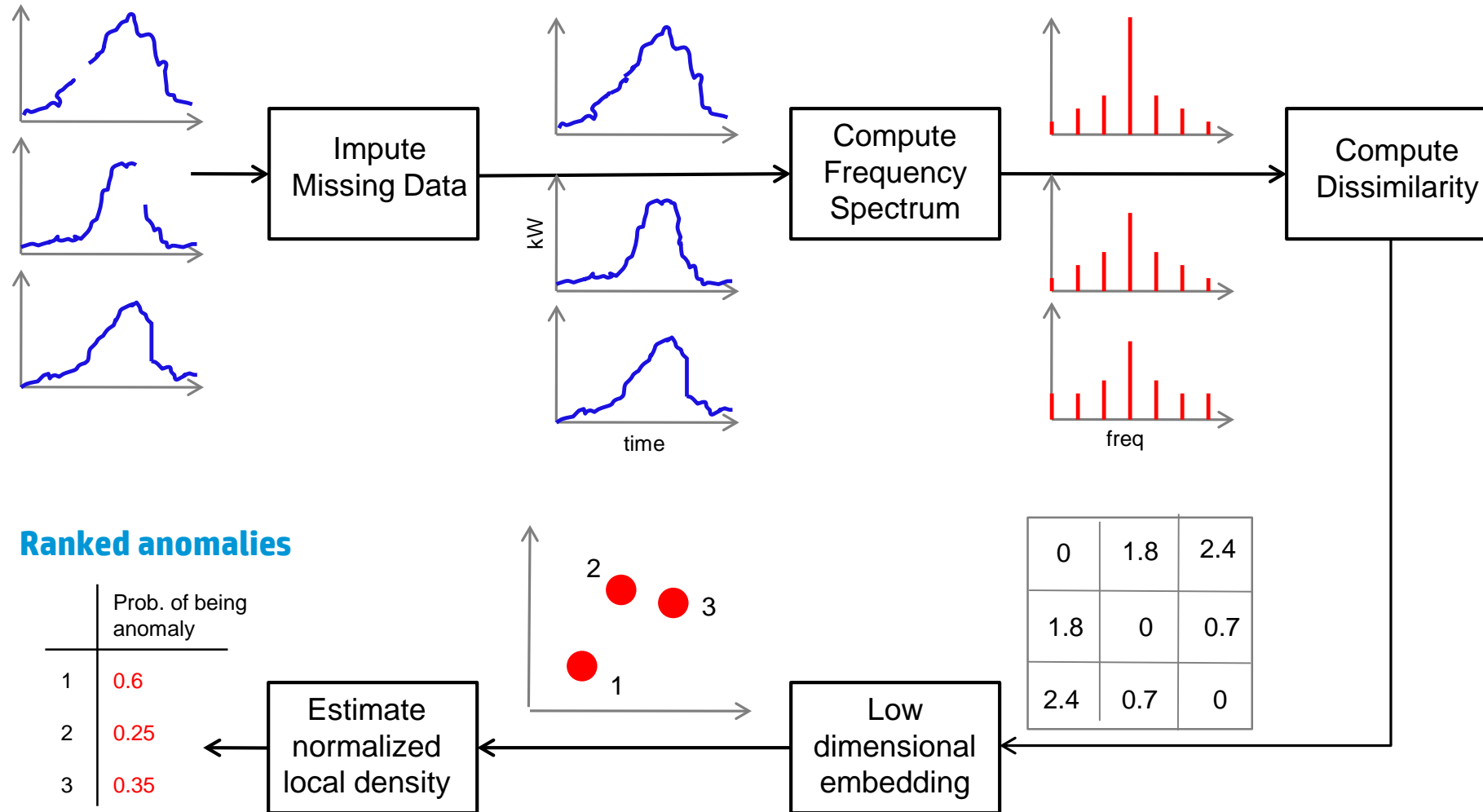
Challenge: Obtaining labeled data is expensive

- requires a lot of manual effort

Goal: Systematically detect abnormal power usage

Approach: Use an unsupervised approach

Algorithm



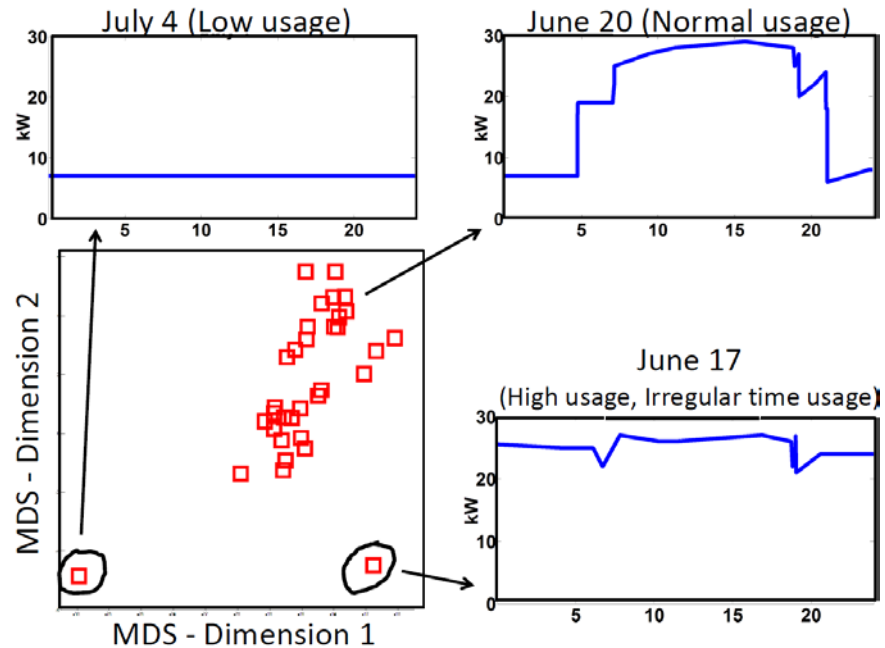
Anomaly Examples

Power Saving Opportunities

Load: Overhead Lighting in Building 1

Anomalies:

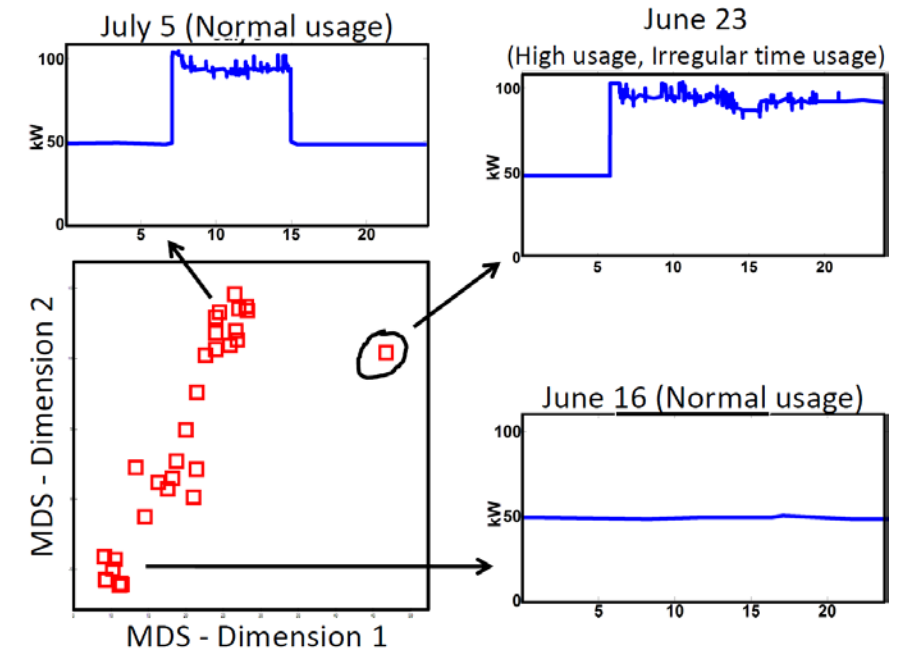
- Abnormal low usage (holiday)
- Abnormal time usage; Potential savings ~180 kWh



Load: Air Handling Units in Building 2

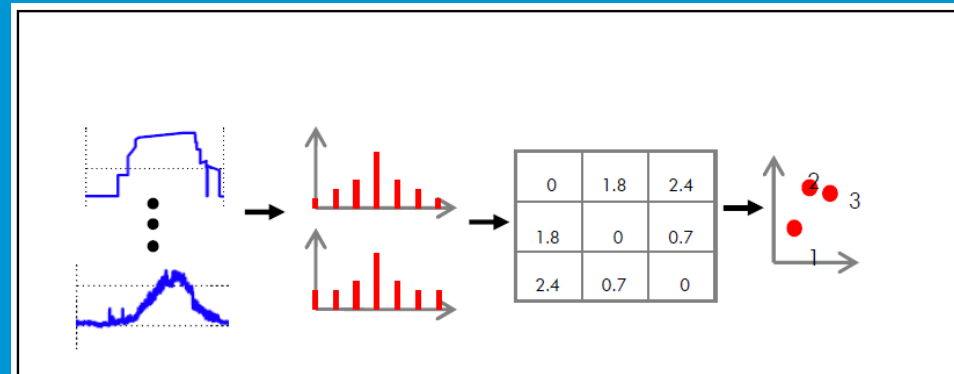
Anomaly:

- Abnormal time usage; Potential savings ~450 kWh



Building Power Management

Occupancy Modelling



Building Occupancy Estimation

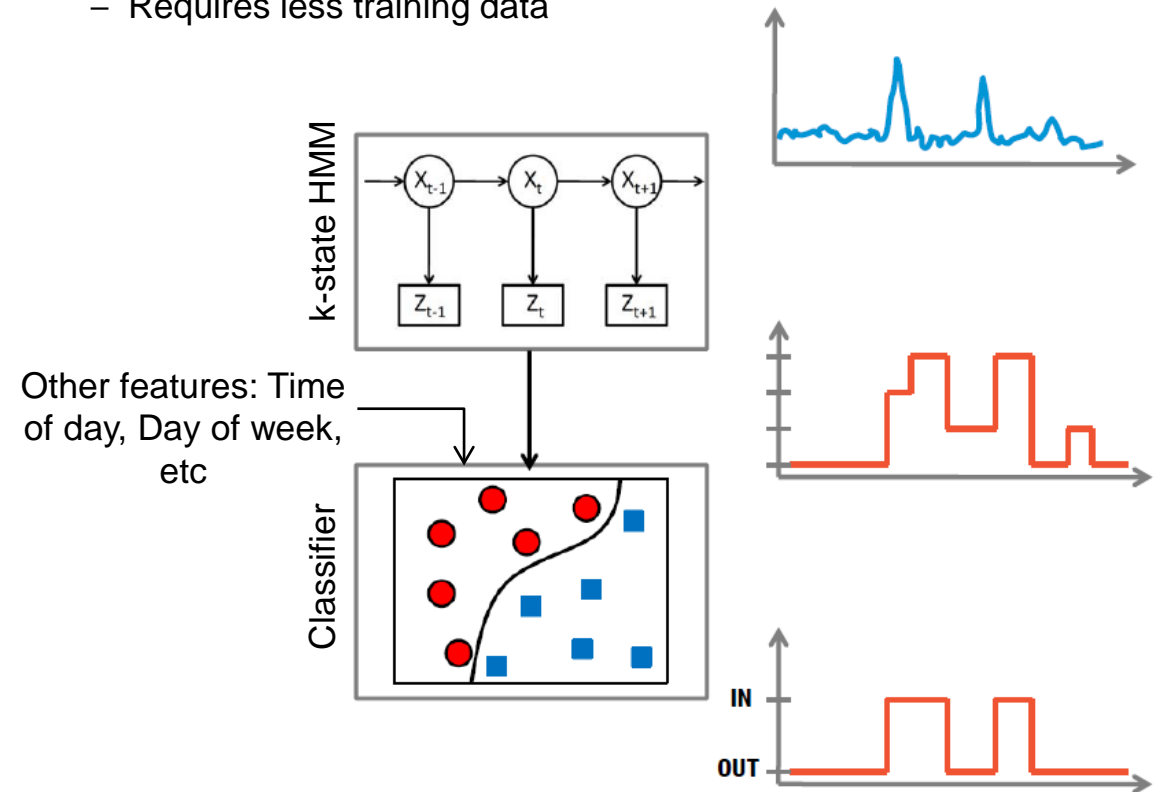
For optimal resource provisioning

- **Motivation:** Save energy via occupancy-based lighting/air conditioning (HVAC) scheduling
- **Challenge:** Fine-grained occupancy information is not available, and requires additional sensors
 - Expensive
 - Intrusive
- **Goal:** Accurately estimate occupancy of a zone using readily available data
- **Approach**
 - Use L2 port-level network statistics as a proxy
 - Semi-supervised method with minimal training data

Methodology^[2]

Two stage Semi-supervised Approach

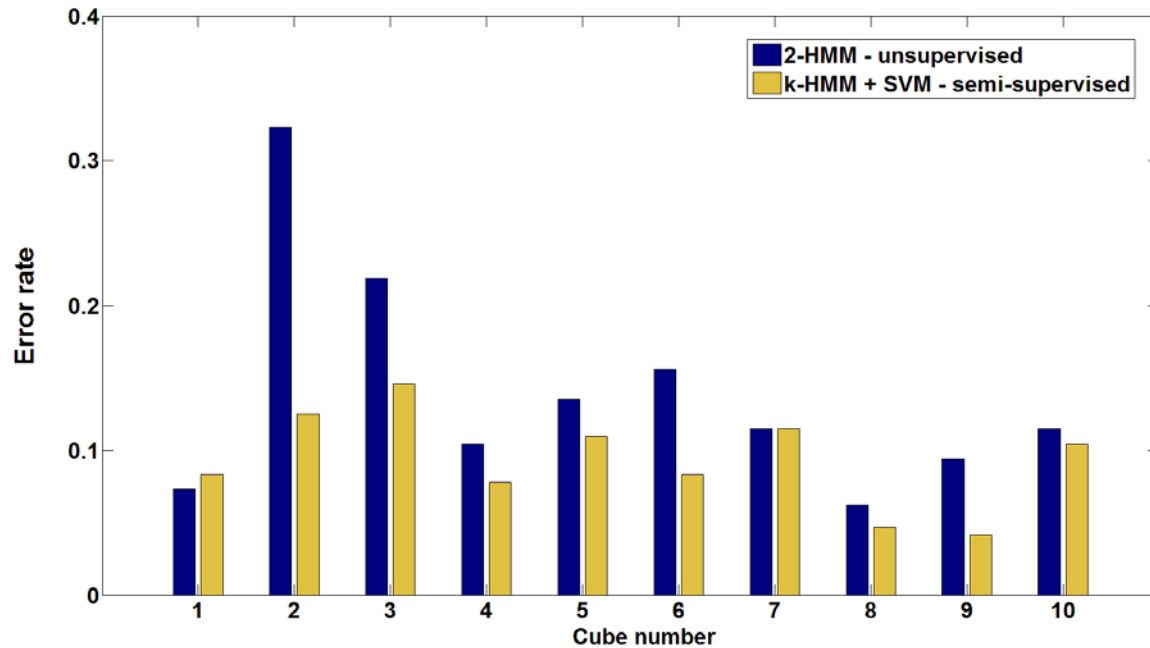
- Can efficiently incorporate external parameters
- Requires less training data



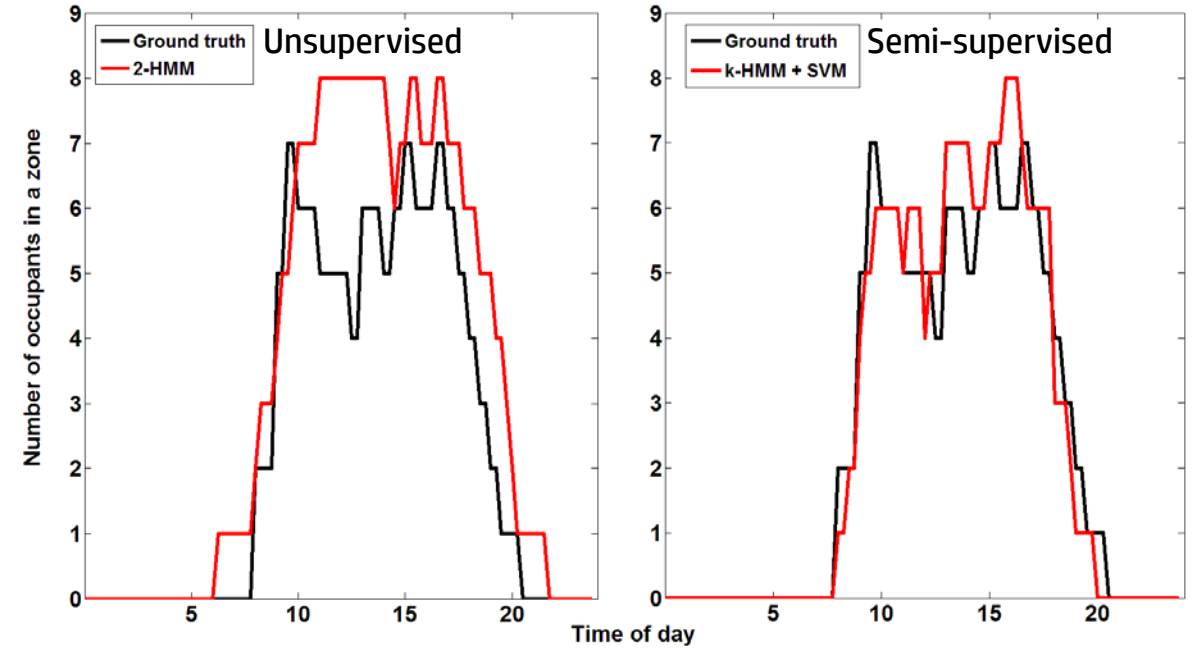
[2] Bellala et.al., "Towards an understanding of campus-scale power consumption," ACM BuildSys 2011.

Experimental Results

Cube/Office-level Occupancy Estimation



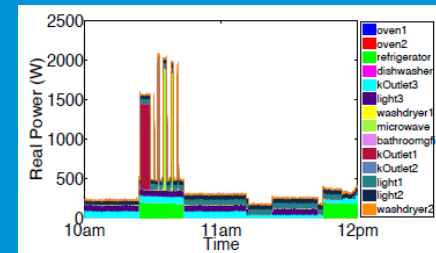
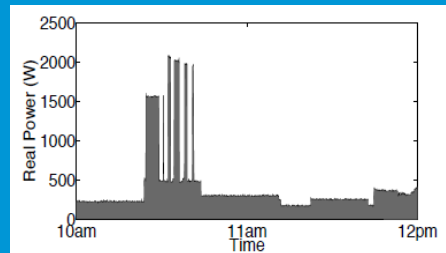
Zone-level Occupancy Estimation



- Occupancy is estimated at cube level (accuracy varied from 85% to 95%)
- This information is aggregated at zone level (8-12 cubes)
- Zone level estimated occupancy is then used to schedule lighting for each zone
- Estimated energy savings using this approach ~ 9.5%

Building Power Management

Energy Disaggregation



Residential Energy Consumption

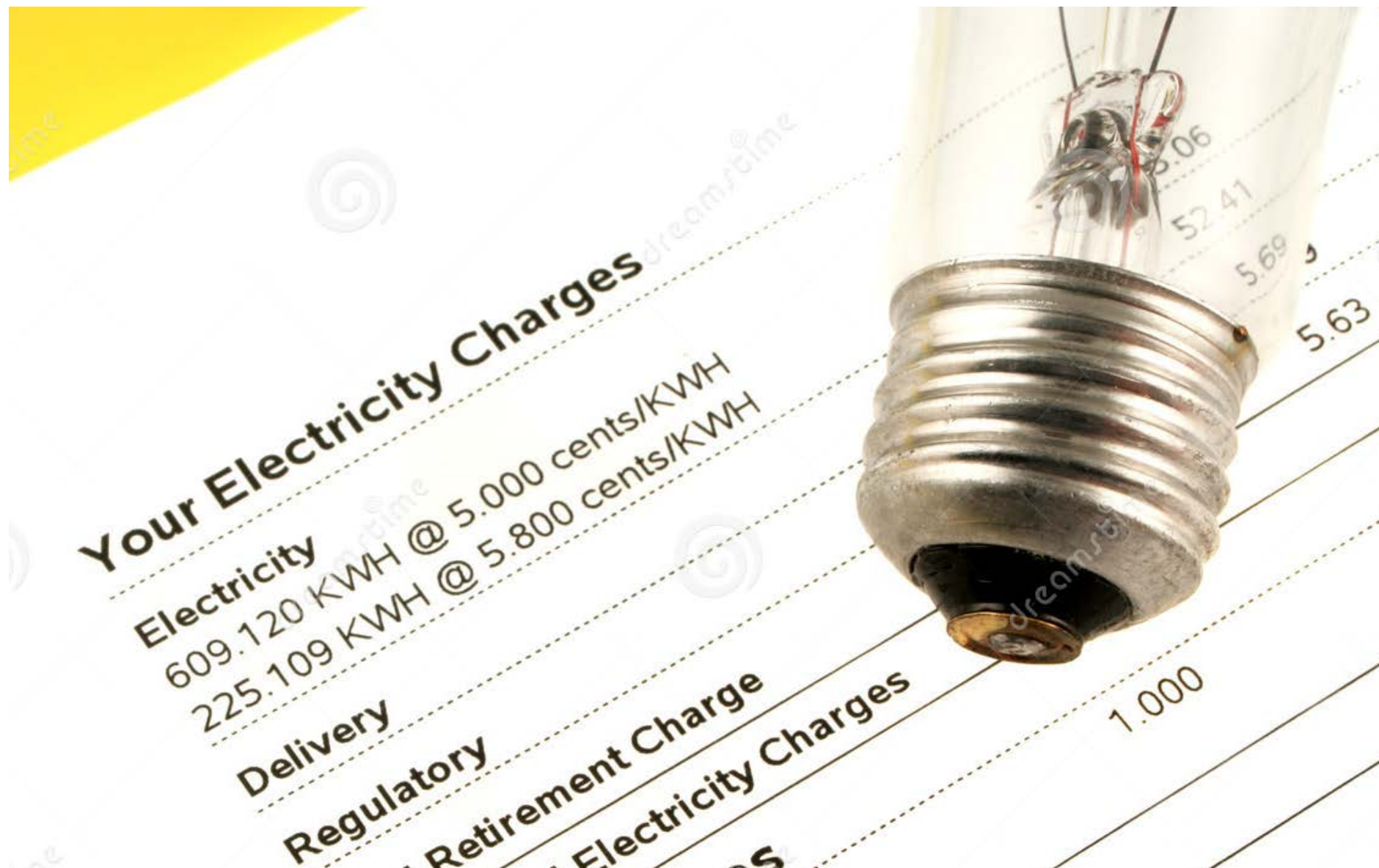
“... the typical American household ... is also likely to **use 20 percent to 30 percent more energy** than necessary...”

ACEEE, a non-profit advocacy group

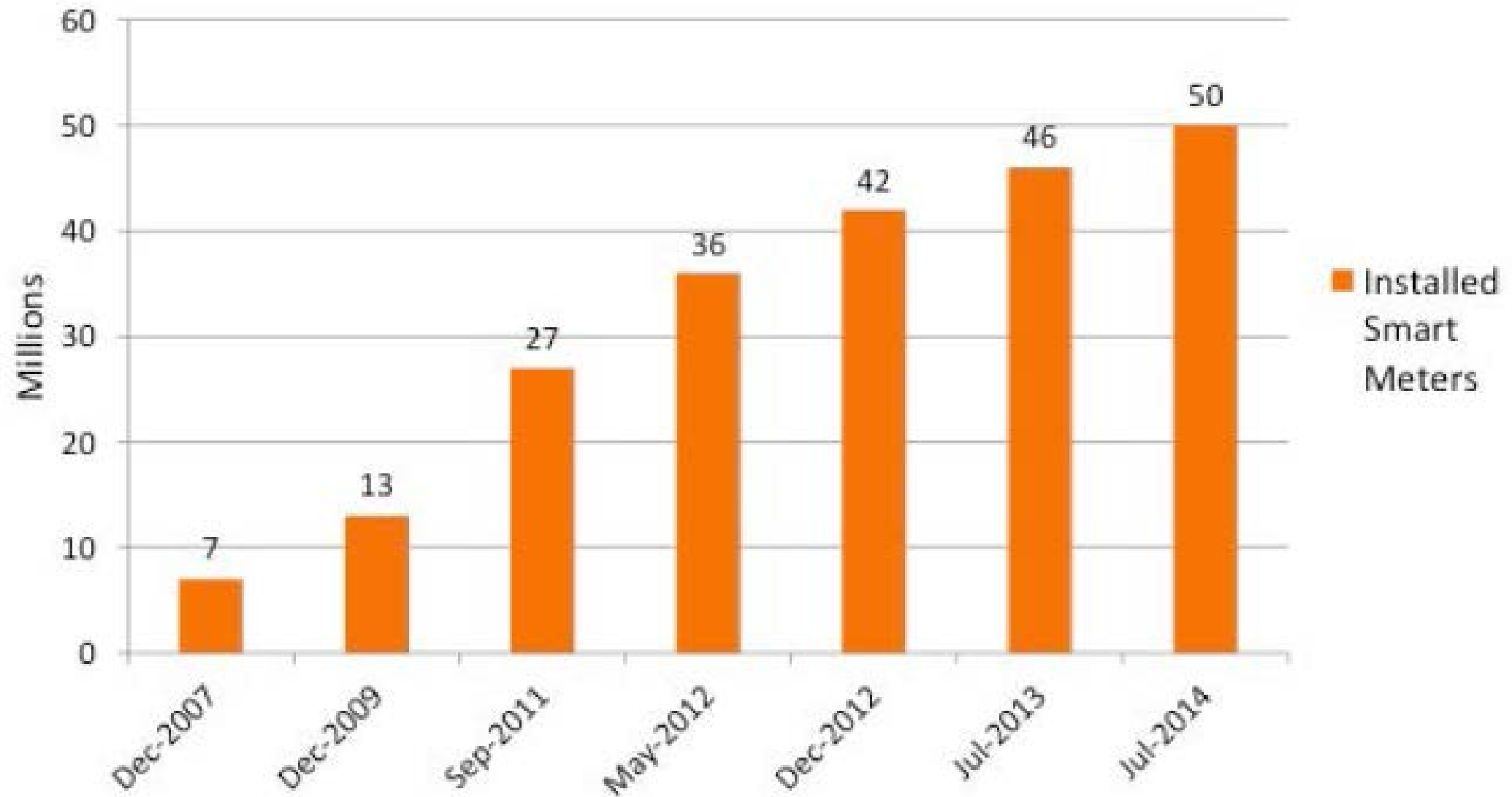
“... Americans could cut their electricity consumption by 12 percent and **save at least \$35 billion** over the next 20 years”

ACEEE, a non-profit advocacy group





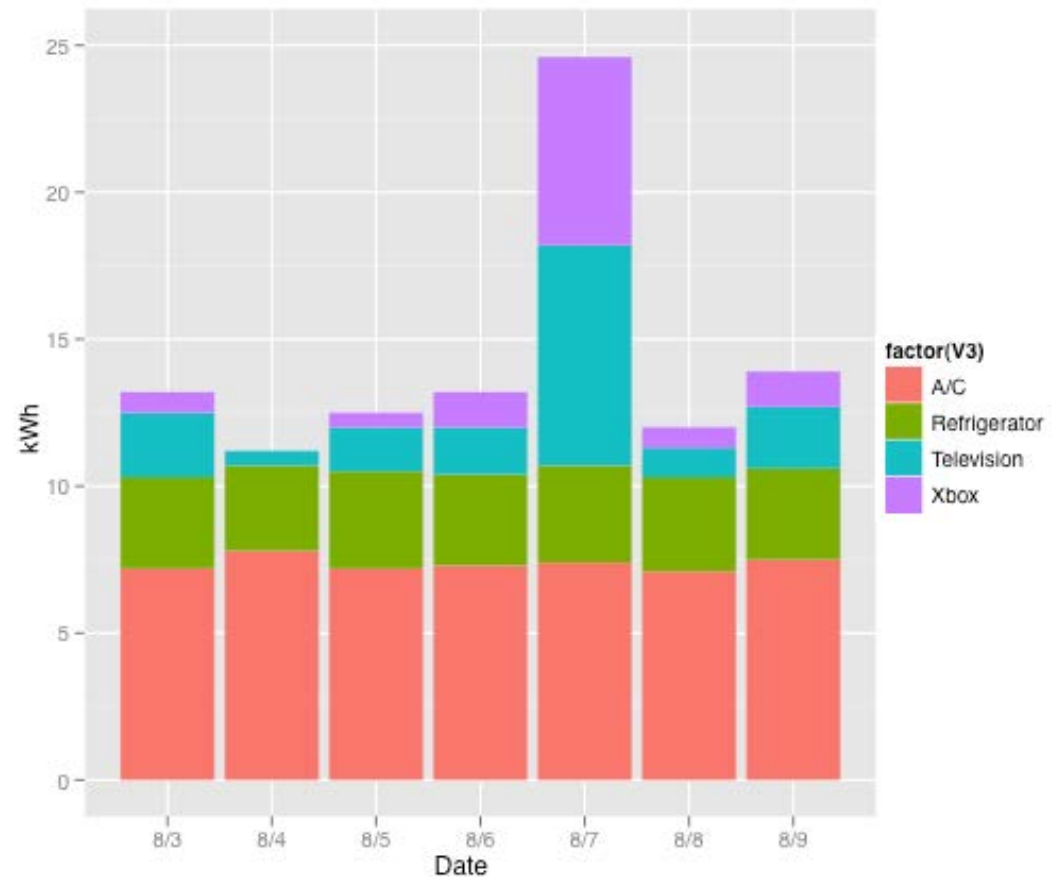
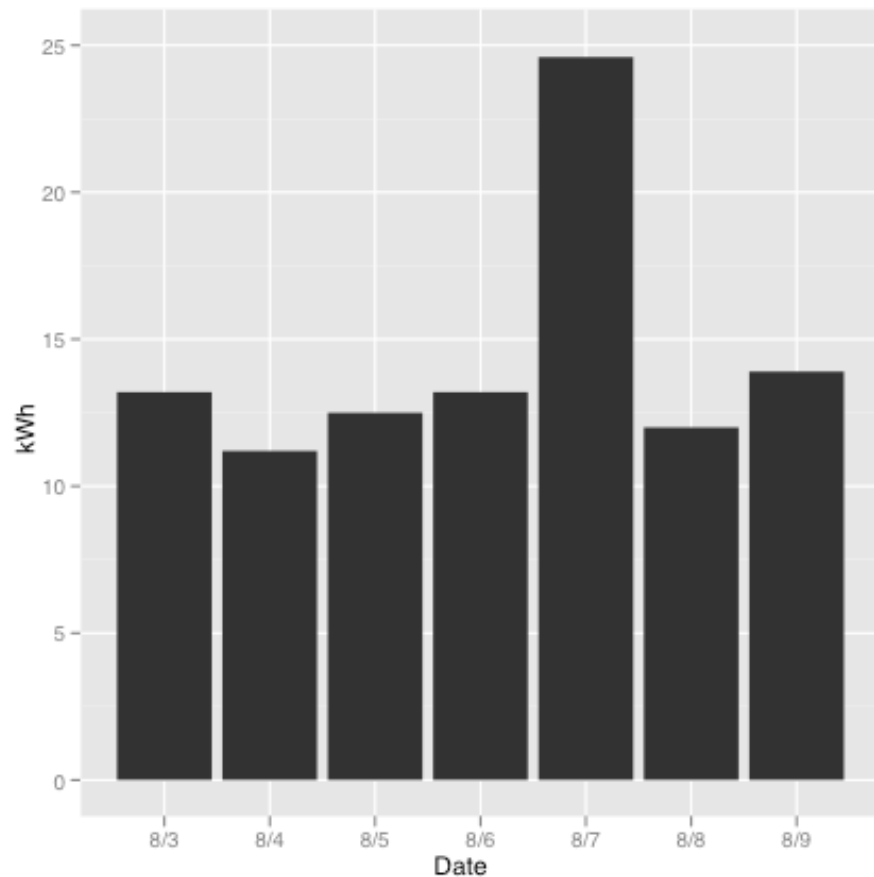
Installed Smart Meters

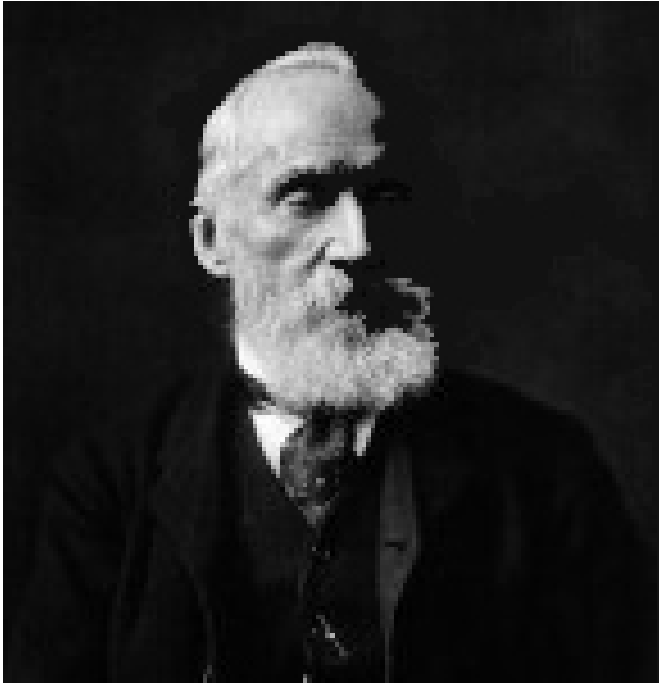


GO BEYOND SMART METERS

- Give customers breakdown of consumption

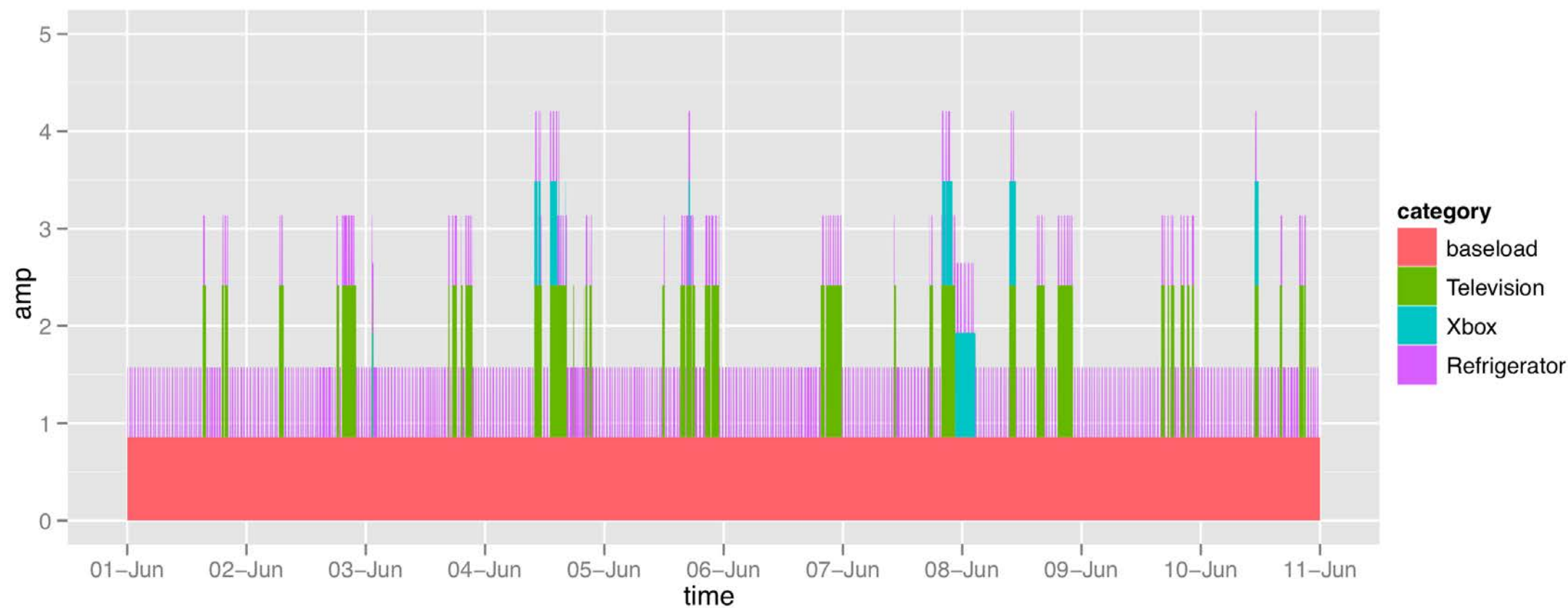
Energy Disaggregation





**“to measure is to know – if
you cannot measure it, you
cannot improve it”
– Lord Kelvin**

ENERGY DISAGGREGATION



SOLUTION

- Install a meter on every appliance
 - Too intrusive
 - Too expensive
- Non-intrusive load monitoring (NILM) [George Hart, 1984]
 - Figure out appliance usage from the whole house measurement

PROBLEM STATEMENT

– Input

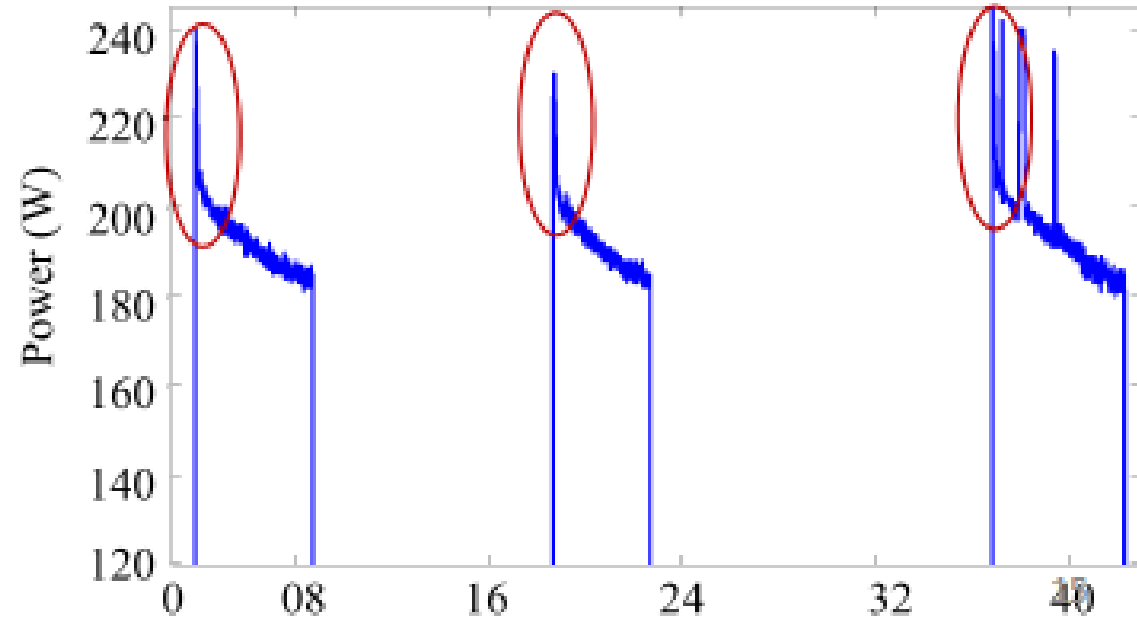
- $Y = \langle y_1, y_2, \dots, y_T \rangle$, a sequence of aggregated power consumption
- M , the number of appliances

– Output

- $S_1 = \langle s_1, s_2, \dots, s_T \rangle$, a sequence of consumption for *Appliance 1*
- $S_2 = \langle s_1, s_2, \dots, s_T \rangle$, a sequence of consumption for *Appliance 2*
- ...
- $S_M = \langle s_1, s_2, \dots, s_T \rangle$, a sequence of consumption for *Appliance M*

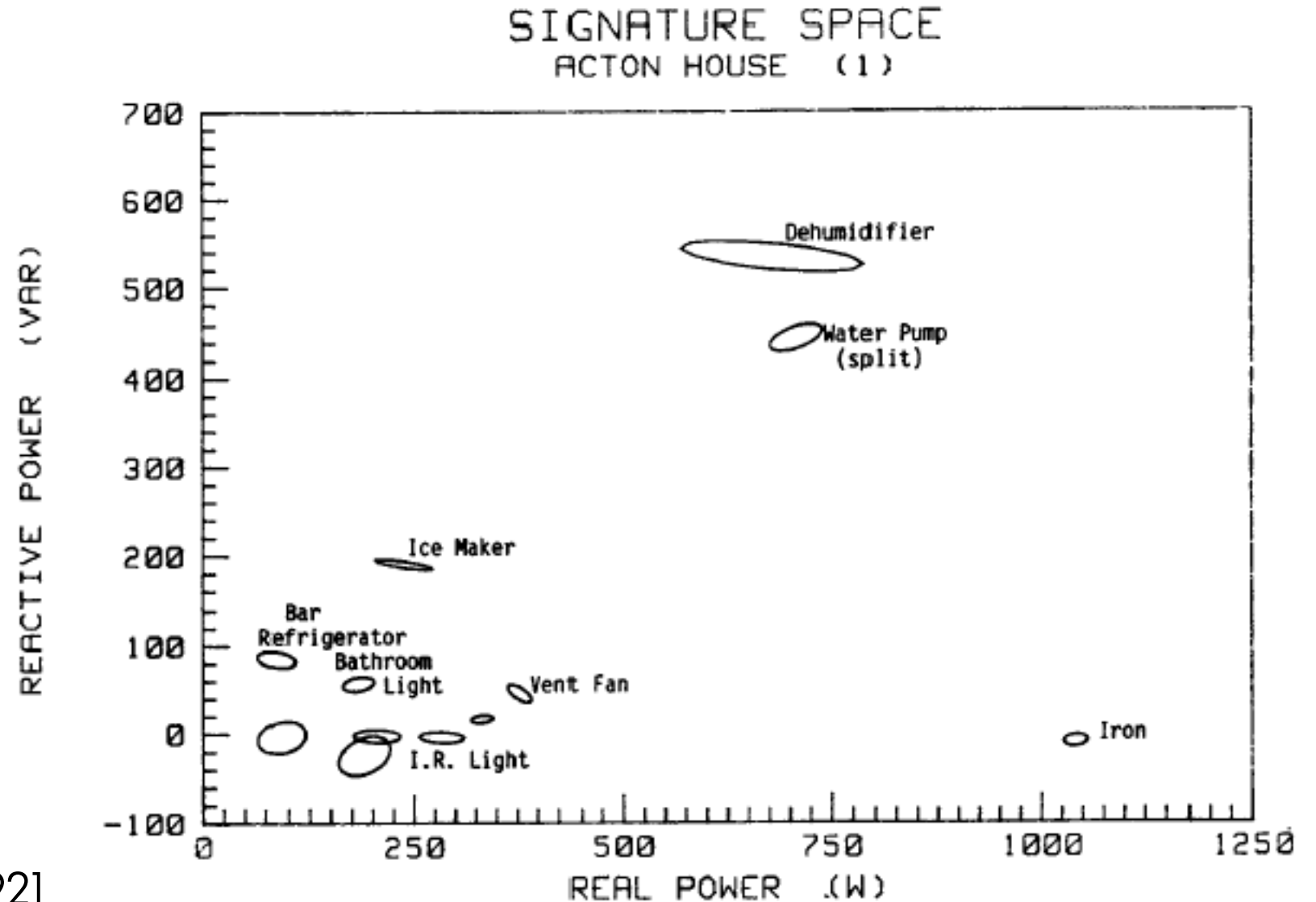
FEATURES

- Sampling frequency
 - Low (minutes to hours)
 - Medium ($\sim 1\text{Hz}$)
 - High (in kHz)
- Stable state features
- Transient features
 - Require special HW
- Real and reactive power
- Non-power features
 - Time of day
 - Day of week
 - Weather
 - Sensors
 - State of other appliances



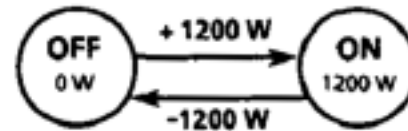
EVENT IDENTIFICATION

- Compute delta in real and reactive power

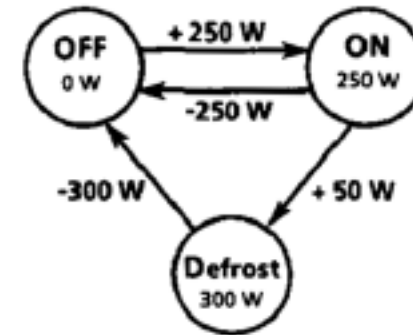


[Hart 1992]

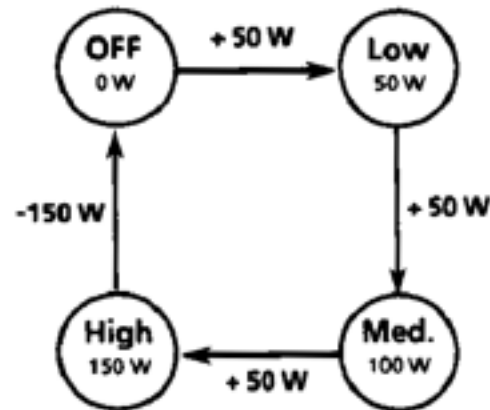
APPLIANCE STATE MACHINES



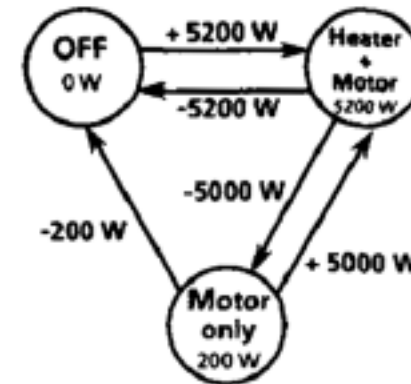
(a)



(b)



(c)



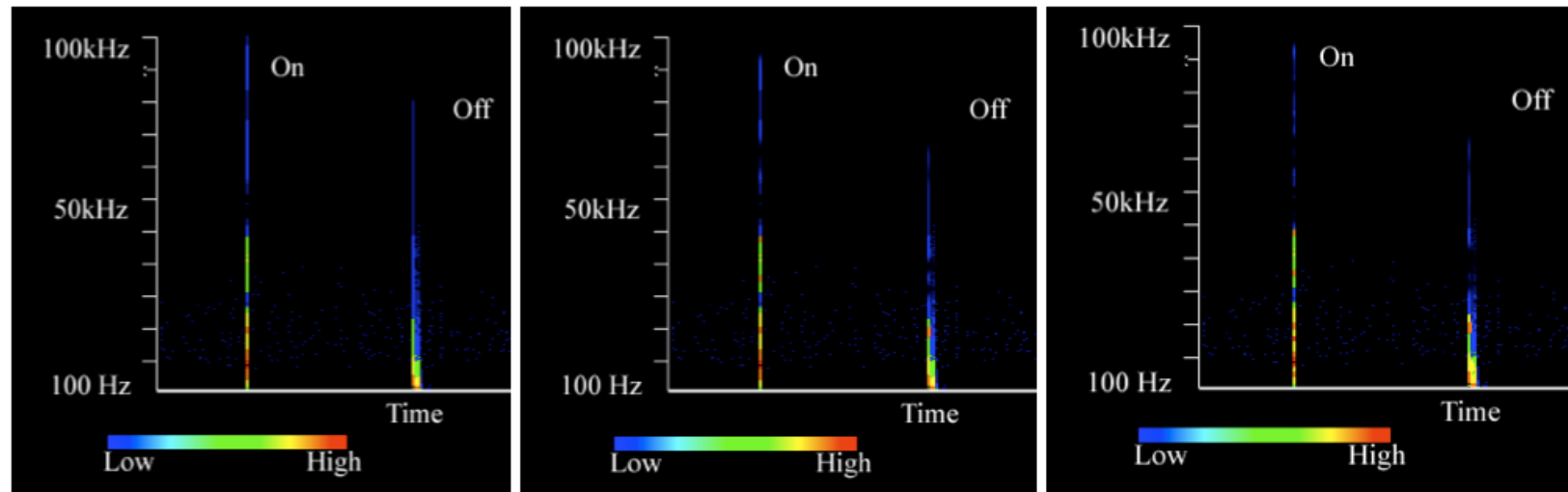
(d)

Fig. 4. Finite-state appliance models: (a) generic 1200 W two-state appliance, e.g., toaster; (b) refrigerator with defrost state; (c) “three-Way” lamp; (d) clothes dryer.

[Hart 1992]

SUPERVISED APPROACHES

- High frequency samples (100KHz)
- Labelled event data
- Train a classifier (e.g. SVM)



S.N. Patel et al. (2007)

DRAWBACKS OF EVENT-BASED METHODS

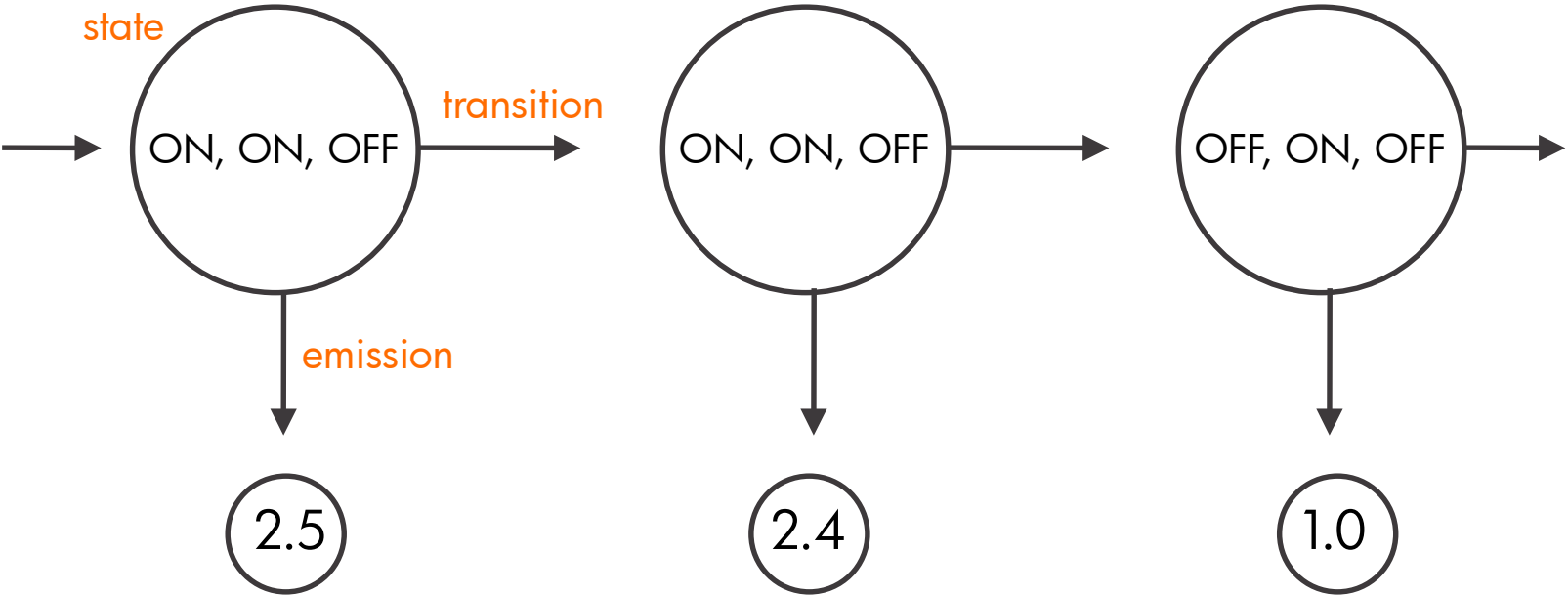
- Require labelled data
- Events considered in isolation
- Most require high frequency data

HMM-BASED MODELS

- **General algorithm outline**
- 1. Define a model
- 2. Learn the parameters in the model from data
- 3. Make predictions (Inference)

HMM

Time	1	2	3	4	5	6	7	8	...
readings	2.5	2.4	1.0	1.1	1.7	1.6	0.8	0.7	...
A	1.4	1.5	0	0	0	0	0	0	...
B	1.1	0.9	1.0	1.1	1.0	0.9	0	0	...
C	0	0	0	0	0.7	0.8	0.8	0.7	...



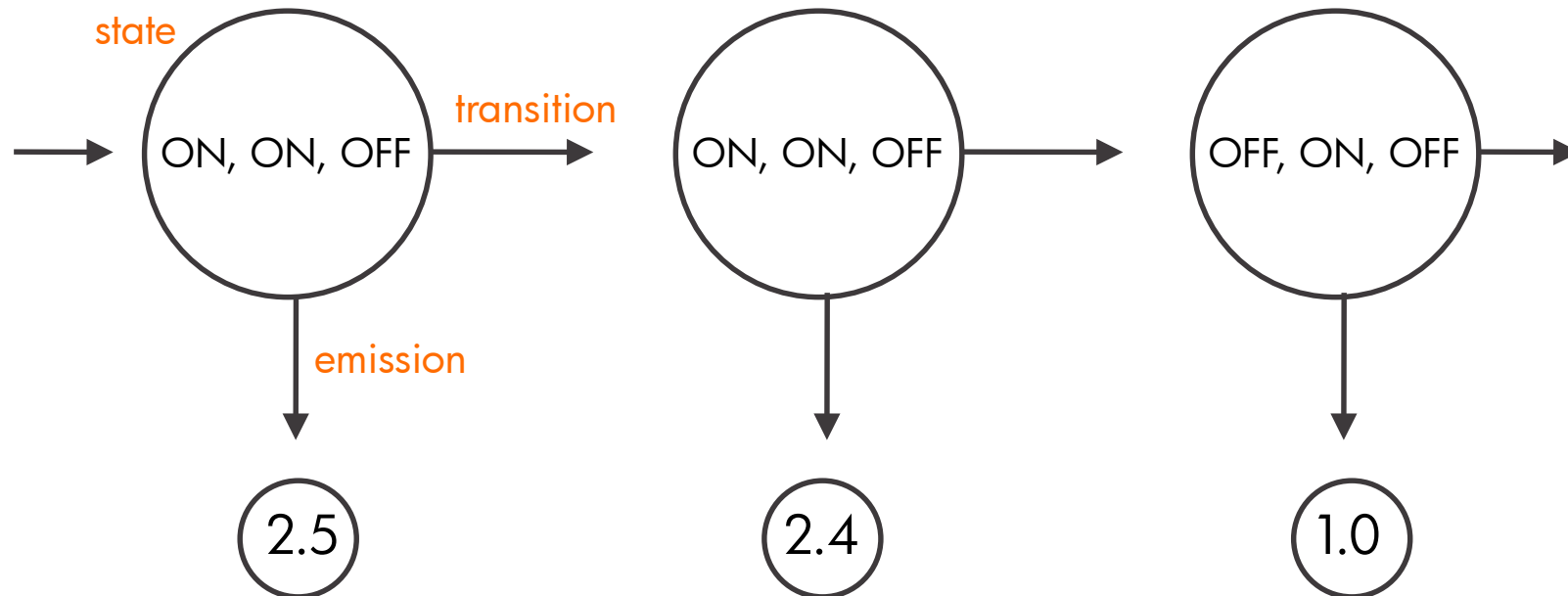
HIDDEN MARKOV MODEL

- Transition probability

$$\Pr(s_{t+1} = i \mid s_t = j) = \pi_{ij}$$

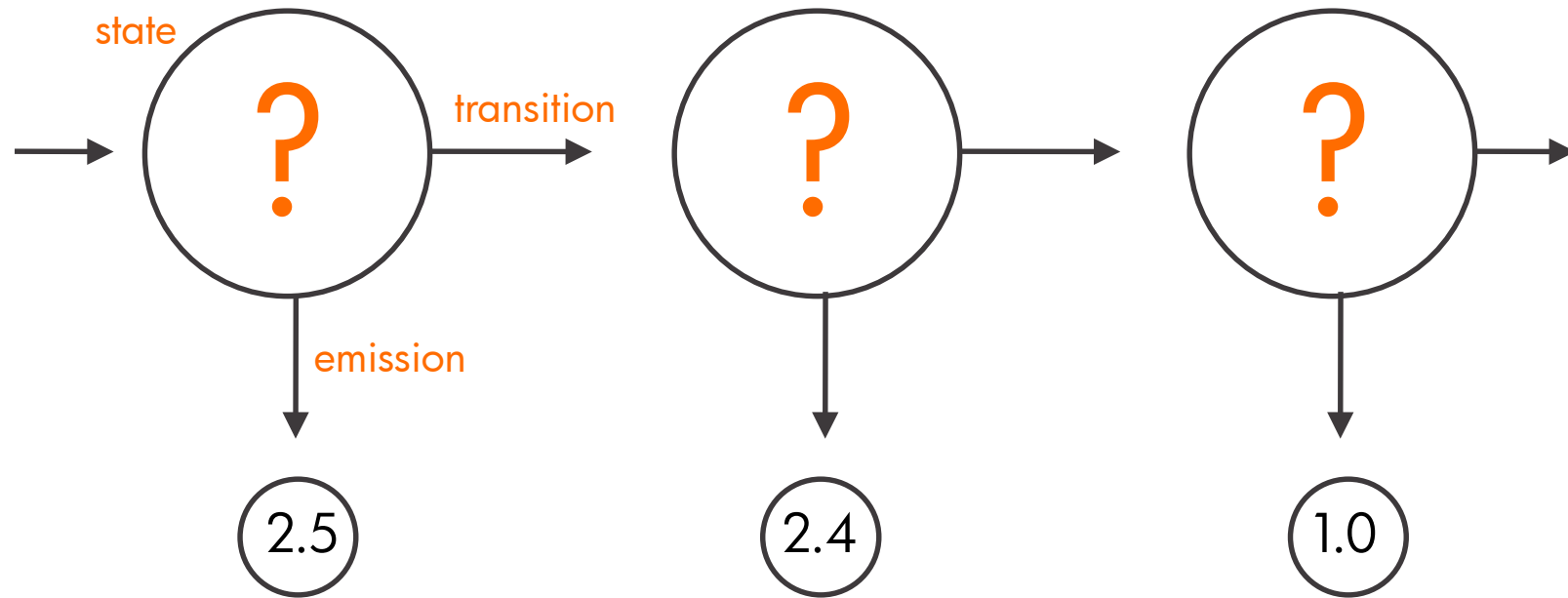
- Emission probability

$$\Pr(y_t = v \mid s_t = i) \sim \text{Normal}(w_i, e), \text{ where } e \text{ is the noise variance}$$



HIDDEN MARKOV MODEL

- S , the sequence of the internal states, is not observable



HIDDEN MARKOV MODEL

- Transition probability

$$\Pr(s_{t+1} = i \mid s_t = j) = \pi_{ij}$$

- Emission probability

$$\Pr(y_t = v \mid s_t = i) \sim \text{Normal}(w_i, e), \text{ where } e \text{ is the noise variance}$$

- Let $\theta = \{\pi_{ij}\} \cup \{w_i\} \cup \{e\}$, the set of the parameters in HMM
- If both S and Y are observable, we can find the parameters θ by Maximum Likelihood (ML)
- But... S is unknown
- If Y and θ are known, we can perform inference to compute S
- Chicken and egg problem!
- Expectation Maximization (EM)

HIDDEN MARKOV MODEL

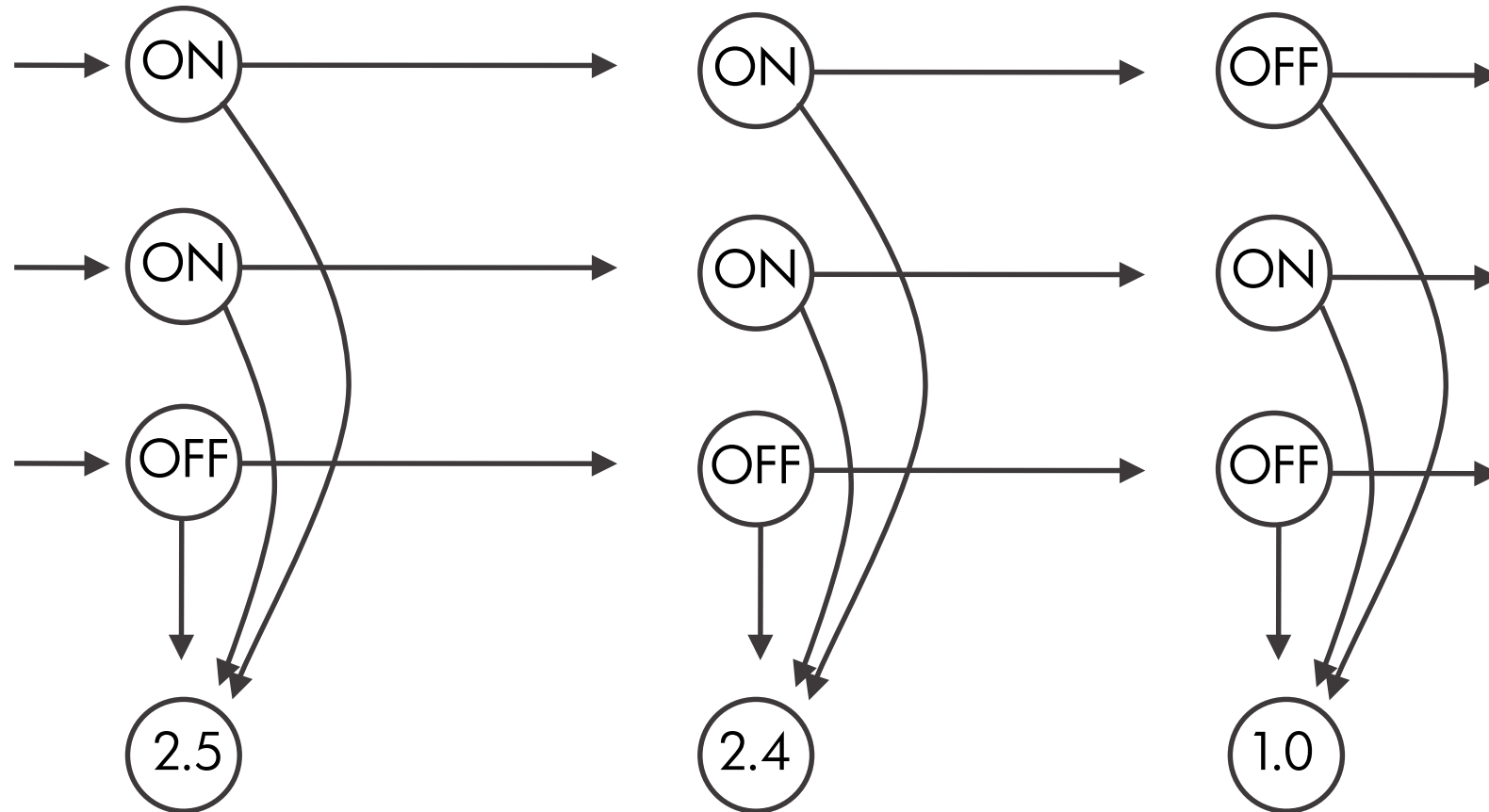
- The number of states: 2^M
- The number of parameters: $2^M + 2^{2M}$
 - 2^M emission-parameters
 - 2^{2M} transition-parameters
- Exponential increase with number of appliances
- That's too many parameters!

FACTORIAL HIDDEN MARKOV MODEL

- The number of states: $2M$
- The number of parameters: $6M$
 - $2M$ emission-parameters
 - $4M$ transition-parameters
- Much better!

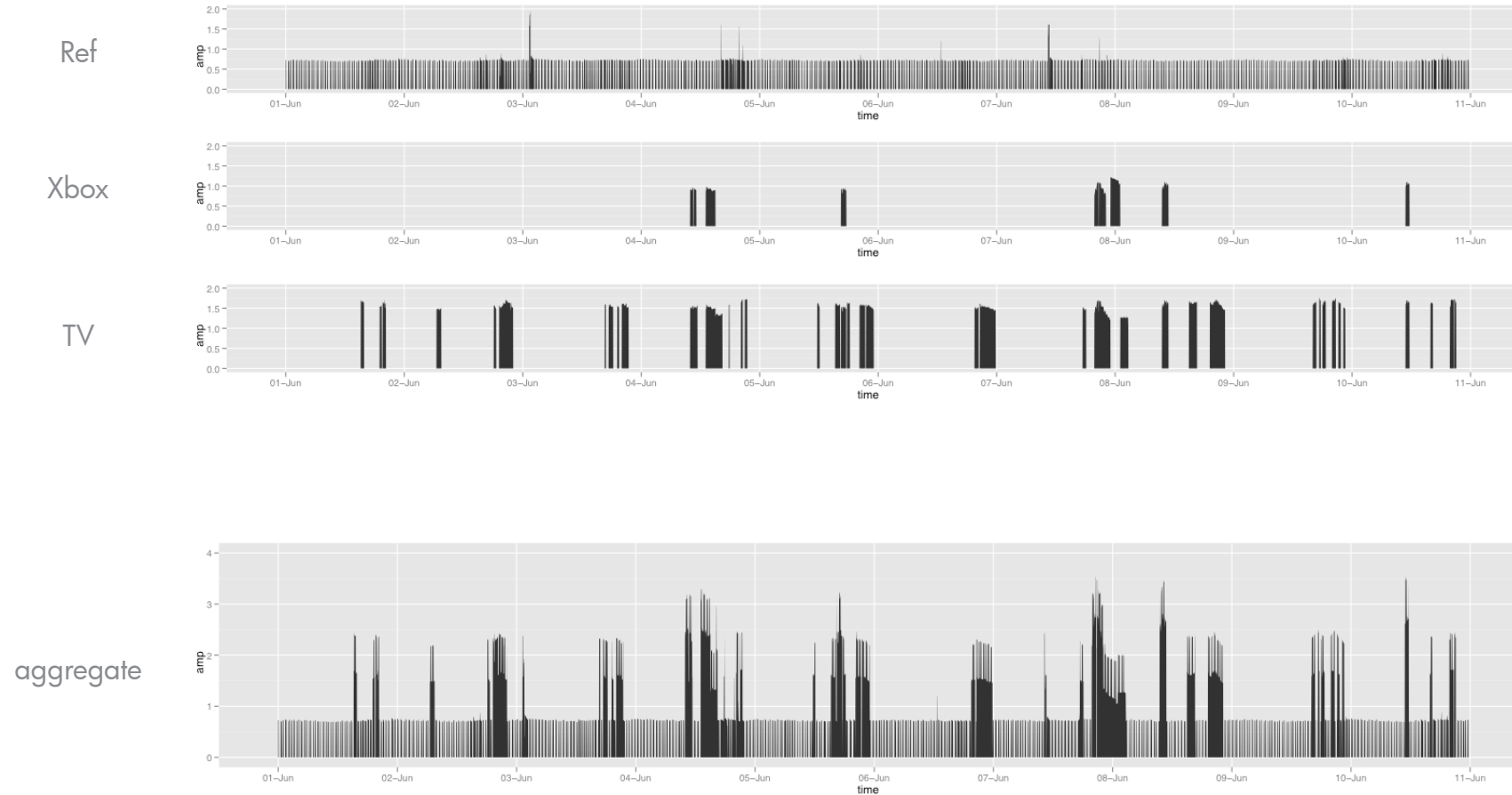
FACTORIAL HIDDEN MARKOV MODEL

- Assumption: *Appliances are used independently*
- The observation is a linear combination of the emissions of the markov chains

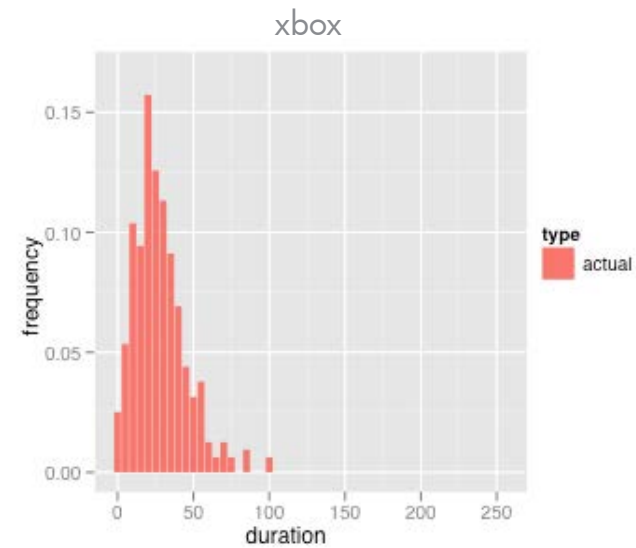
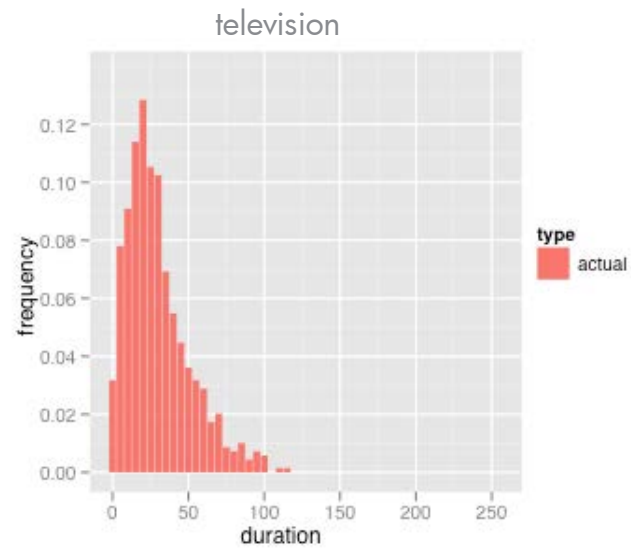
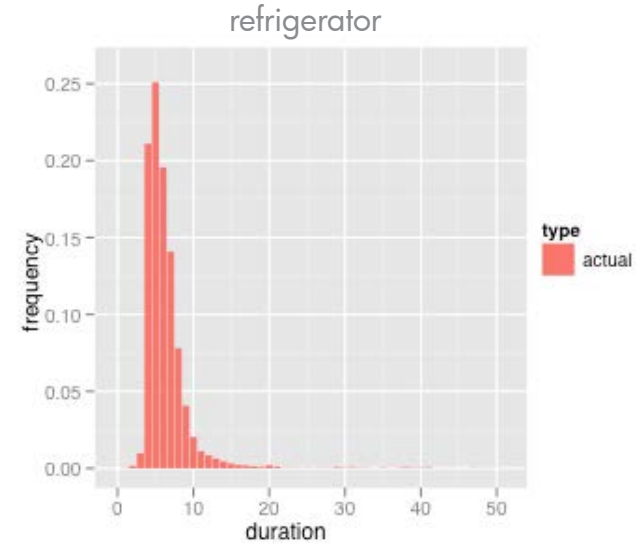
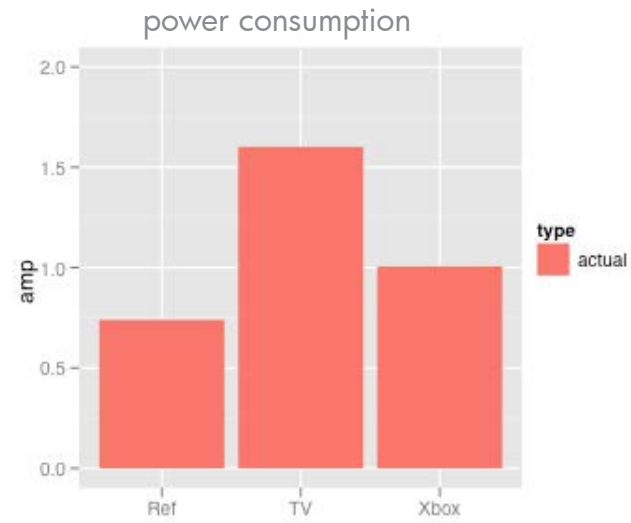


EXAMPLE APPLIANCE DATA

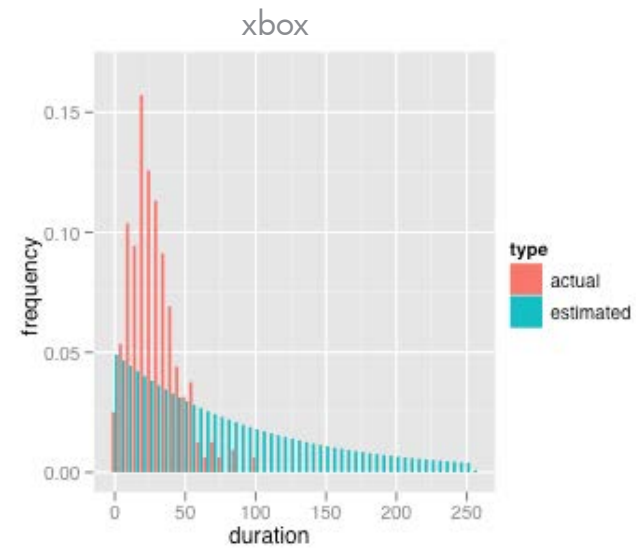
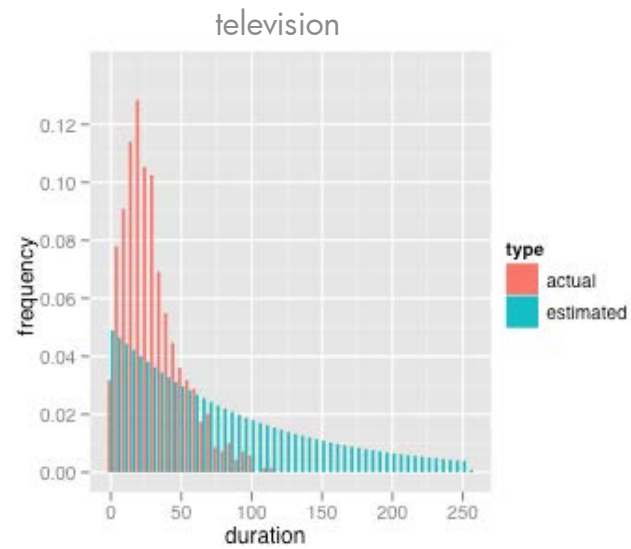
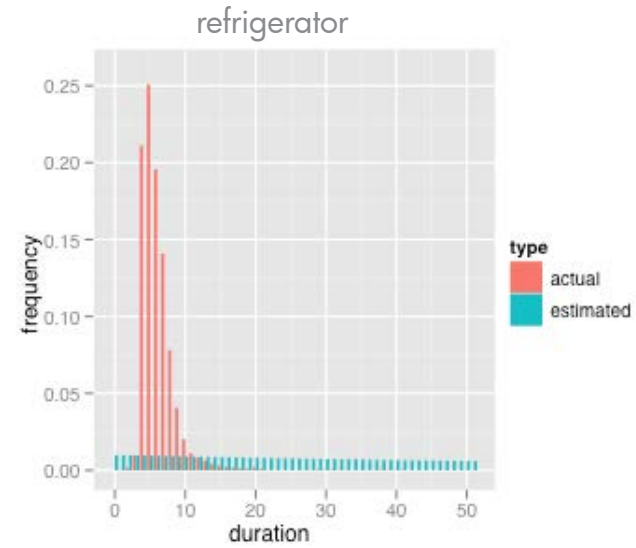
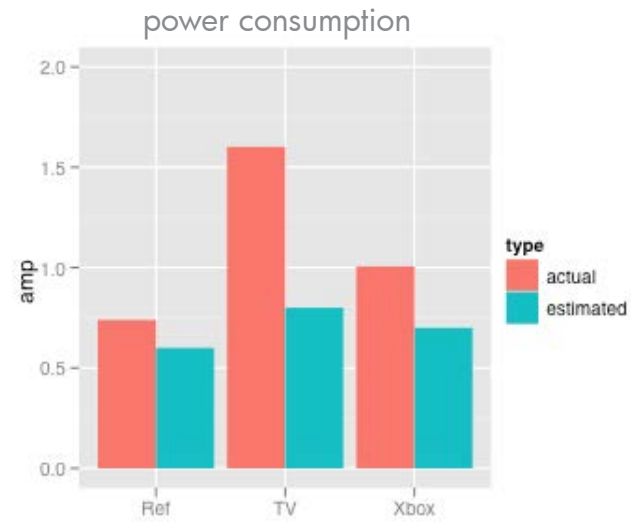
- 3 appliances: Refrigerator, Xbox, TV



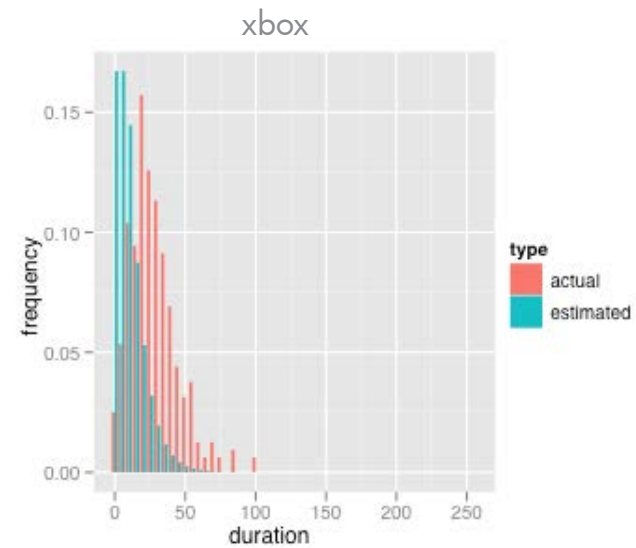
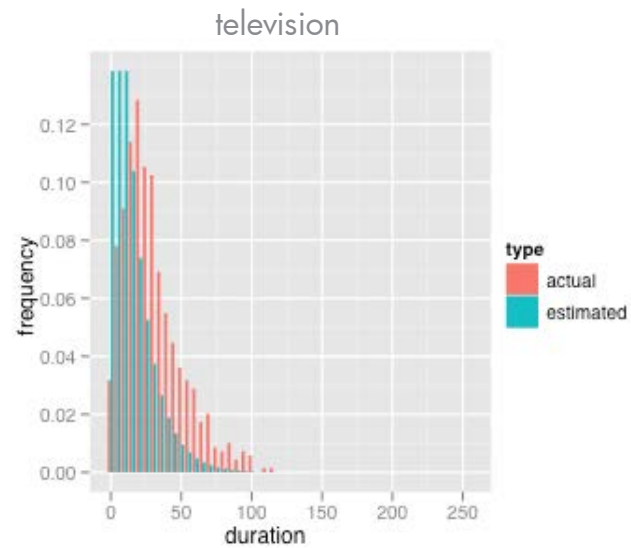
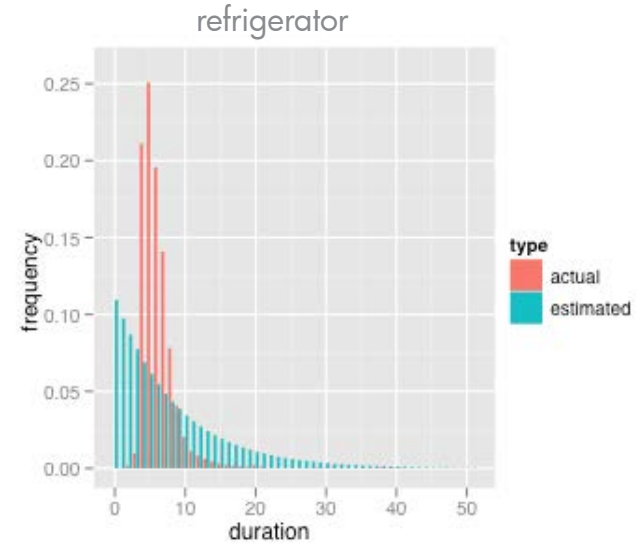
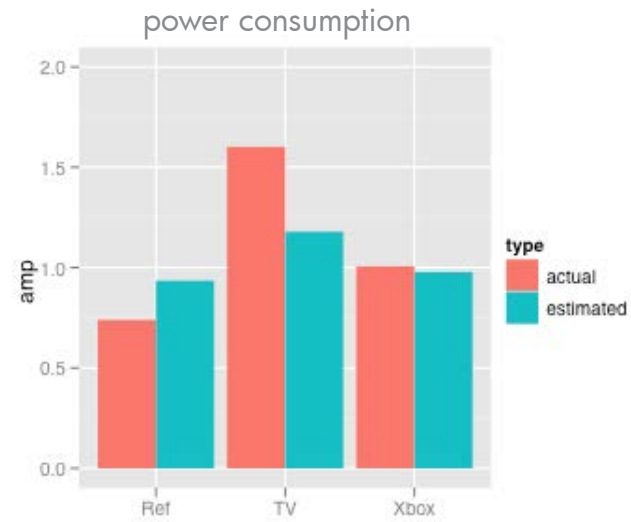
APPLIANCE DISTRIBUTIONS



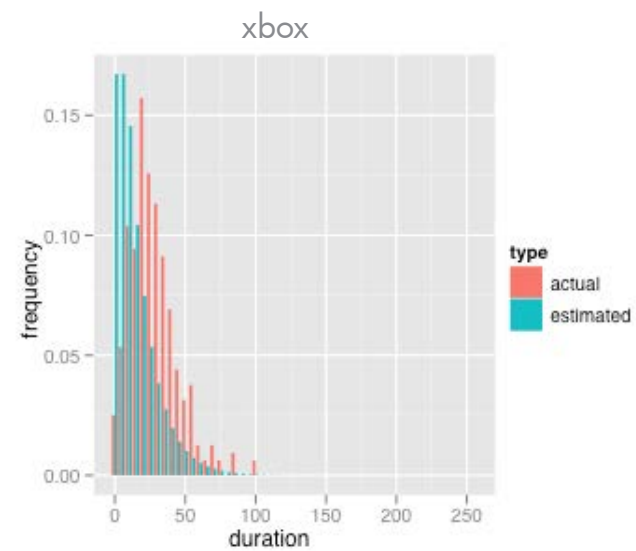
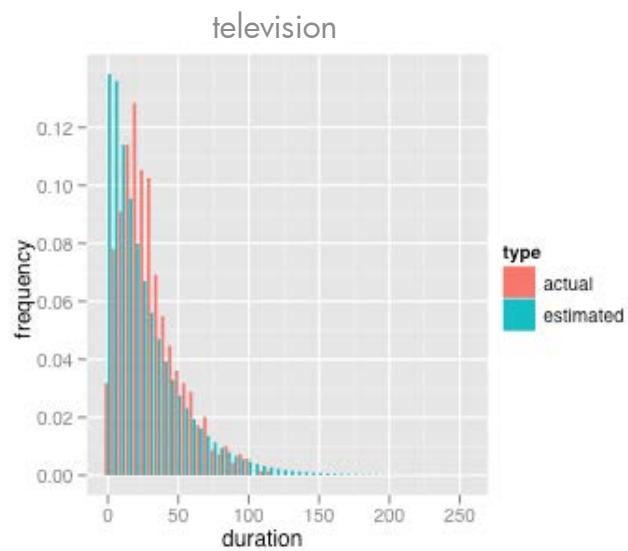
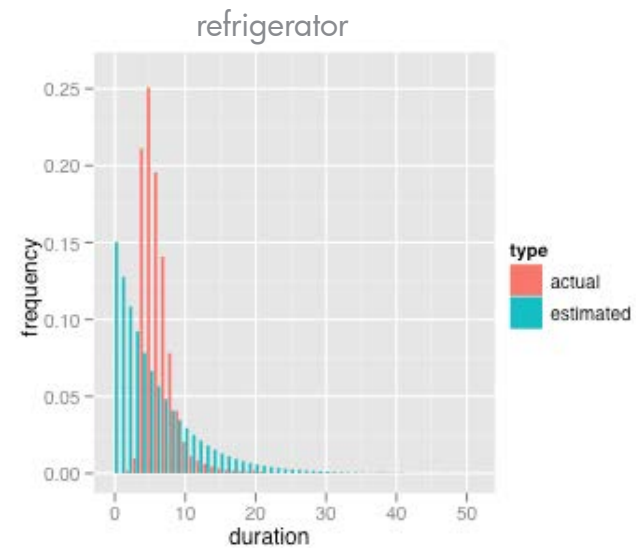
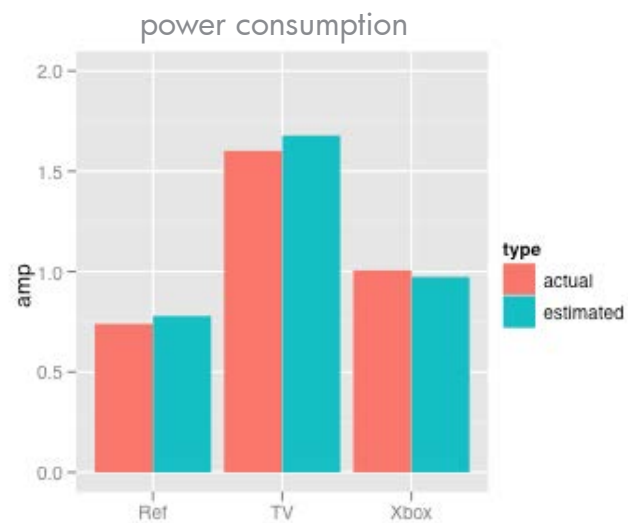
FHMM – EM ITERATION 0



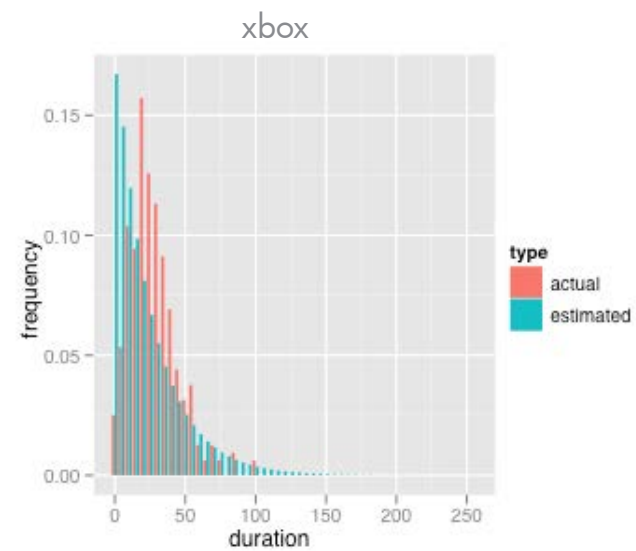
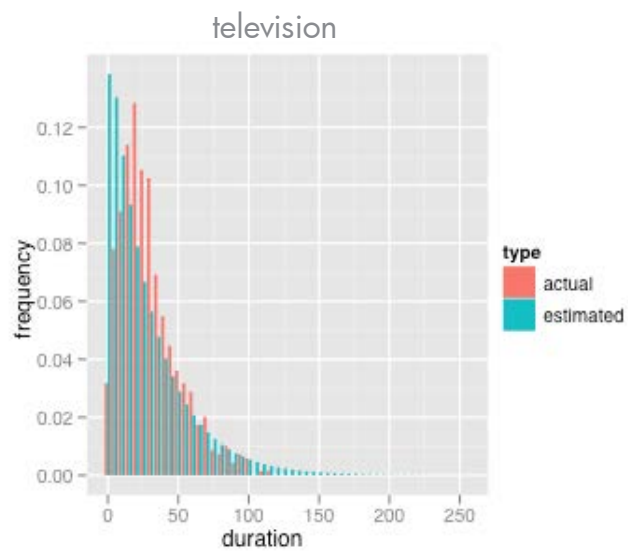
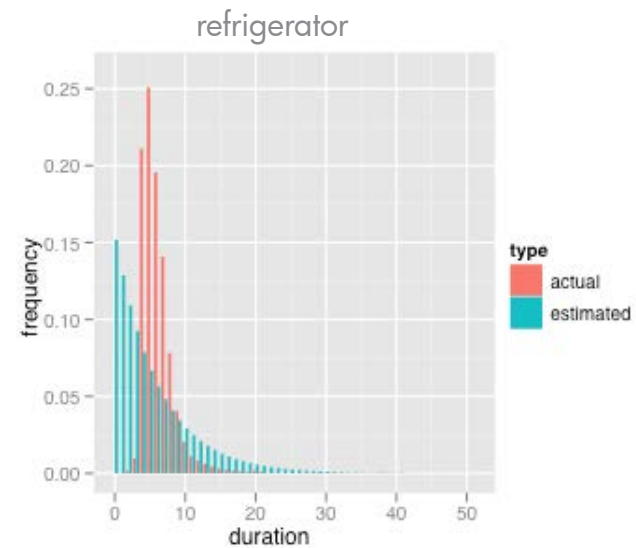
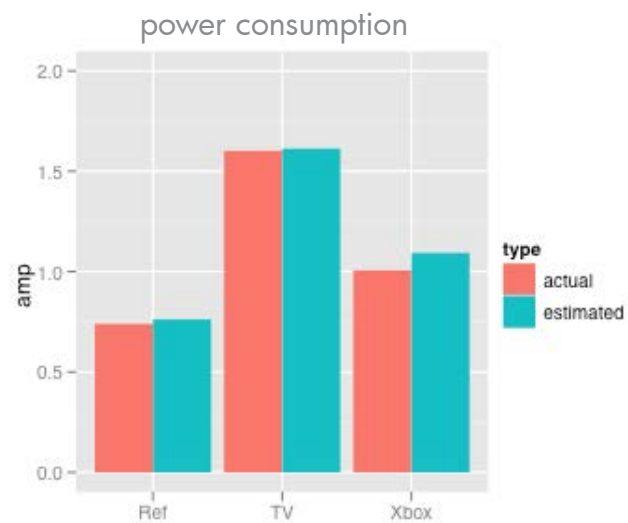
FHMM – EM ITERATION 4



FHMM – EM ITERATION 10

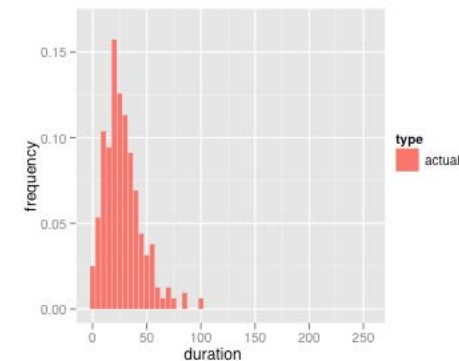
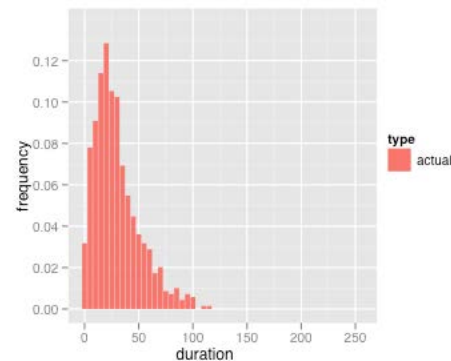
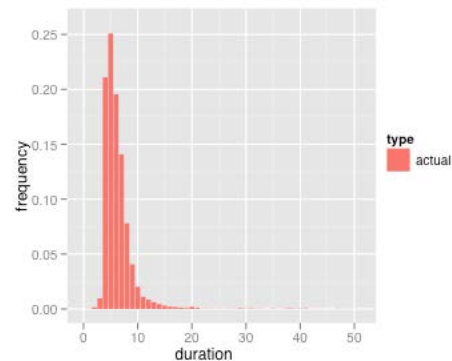
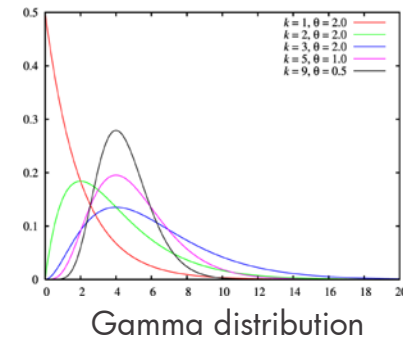
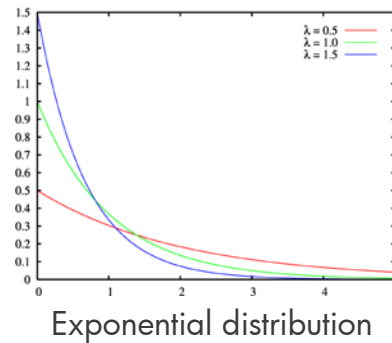


FHMM – EM ITERATION 20

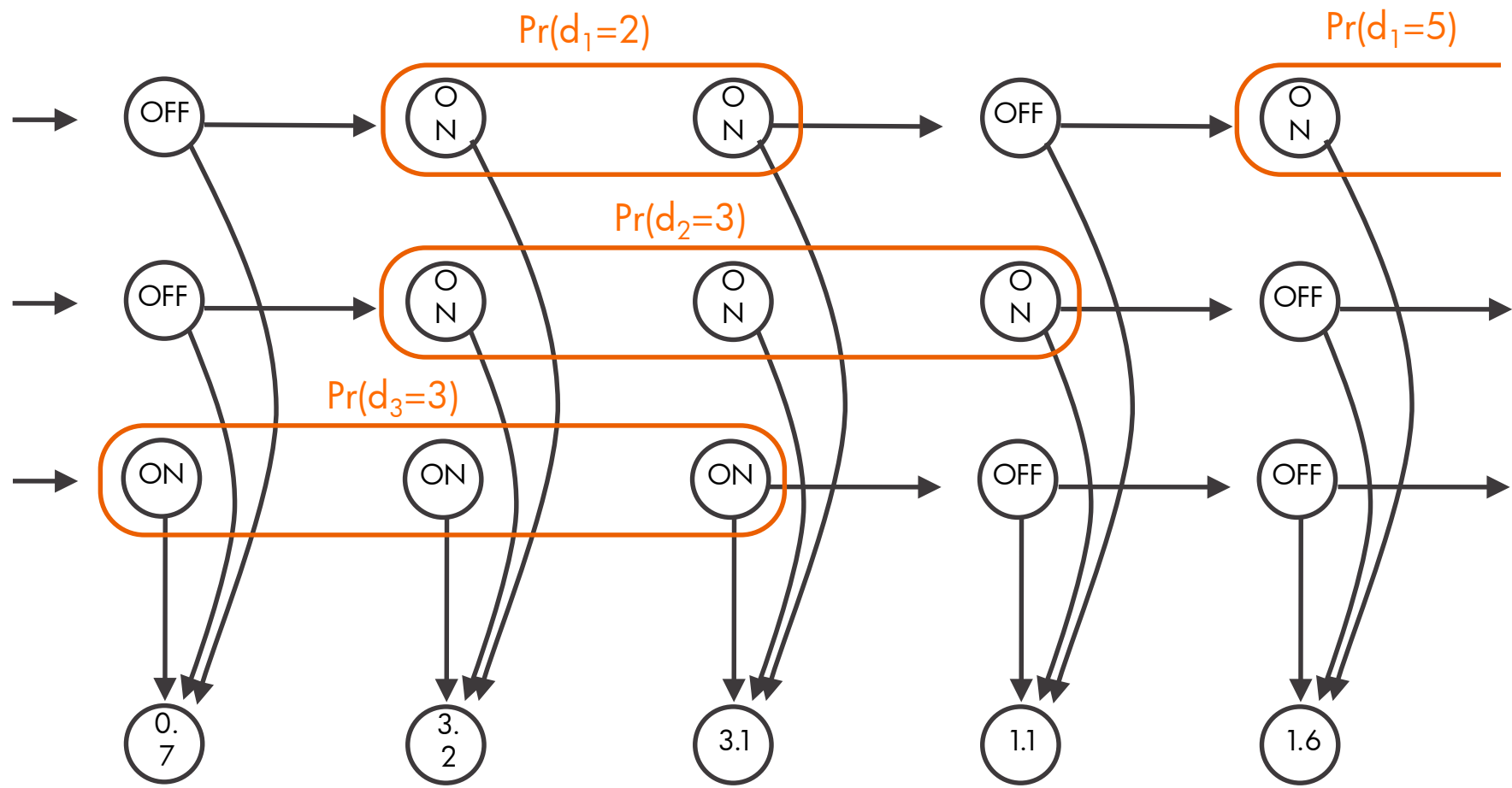


CHALLENGE: STATE-DURATION DISTRIBUTIONS

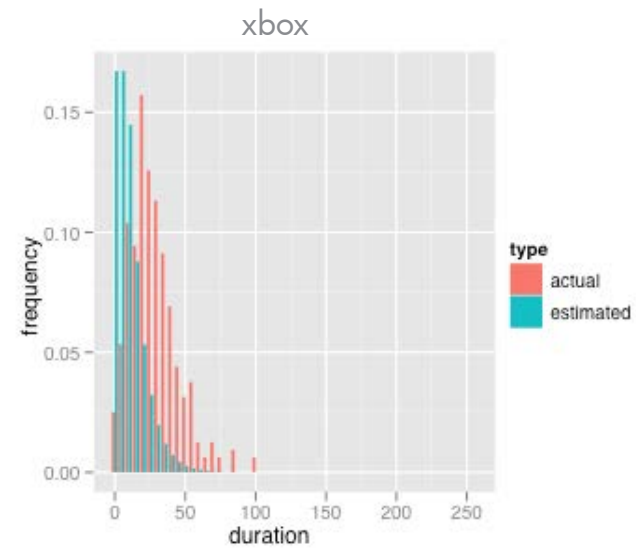
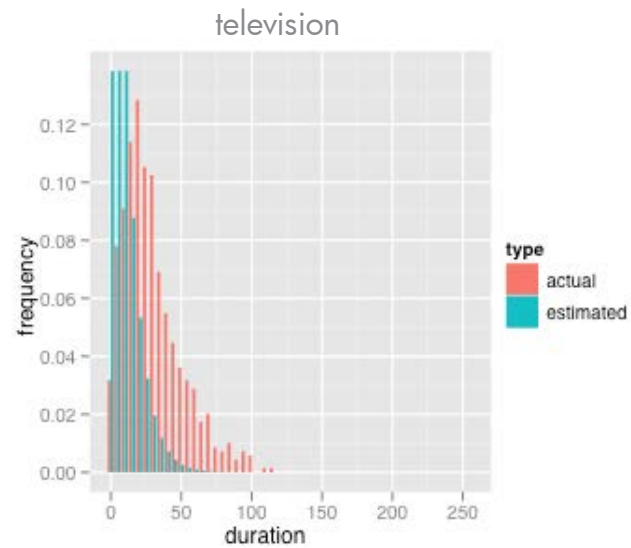
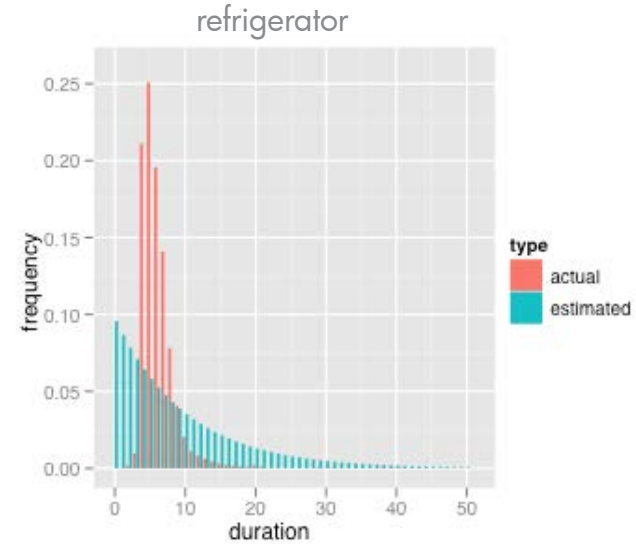
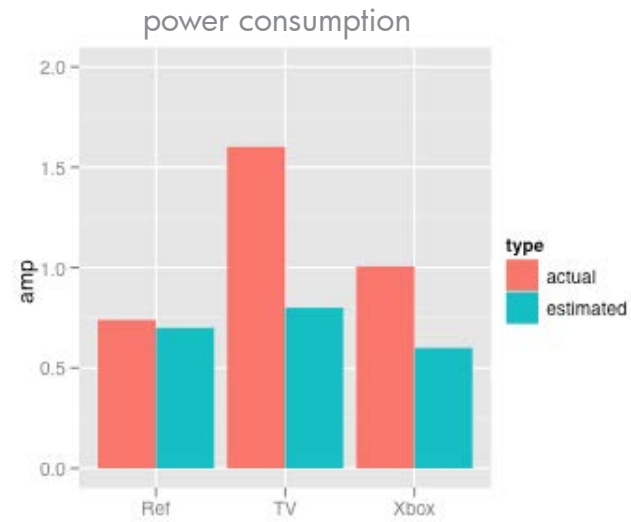
- In the family of Hidden Markov Model, the state-durations have **exponential distributions**
- But, the state-durations for appliances follow **gamma distributions**



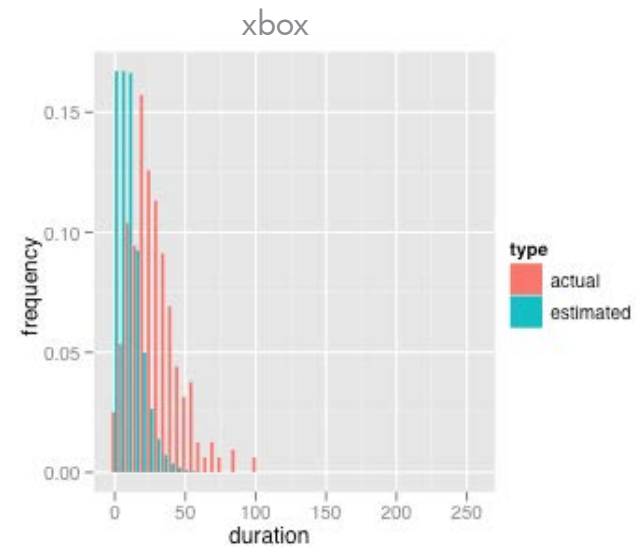
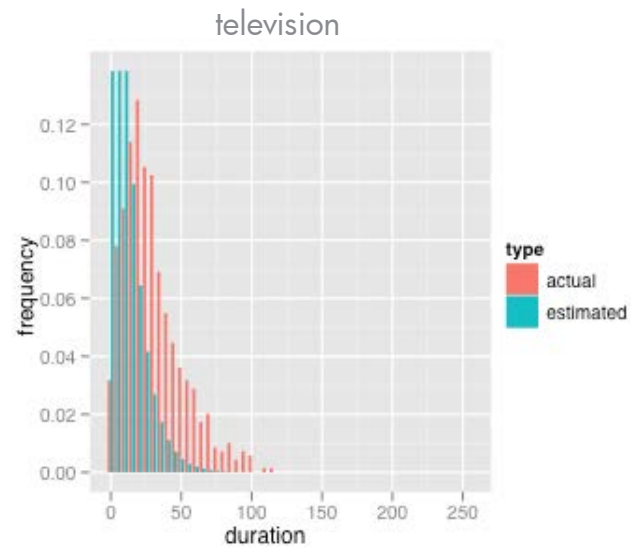
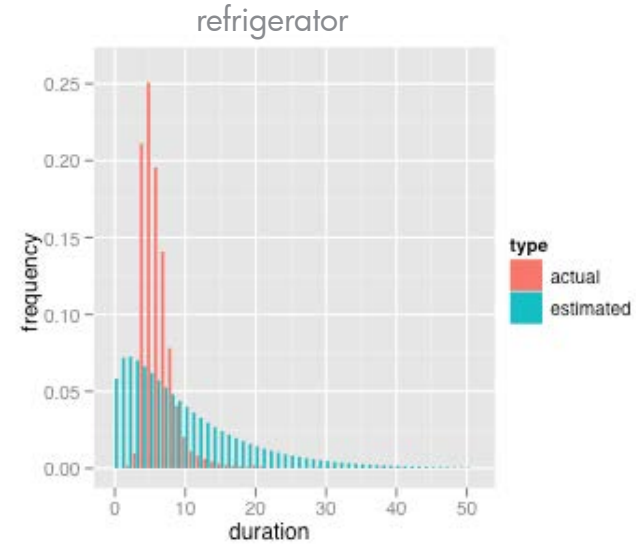
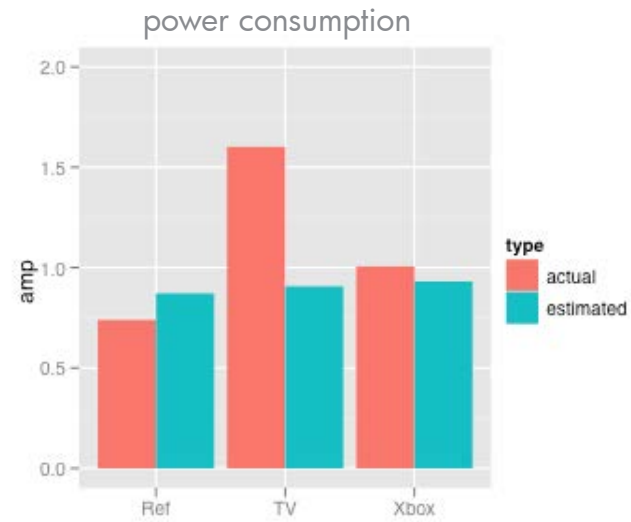
FACTORIAL HIDDEN SEMI-MARKOV MODEL



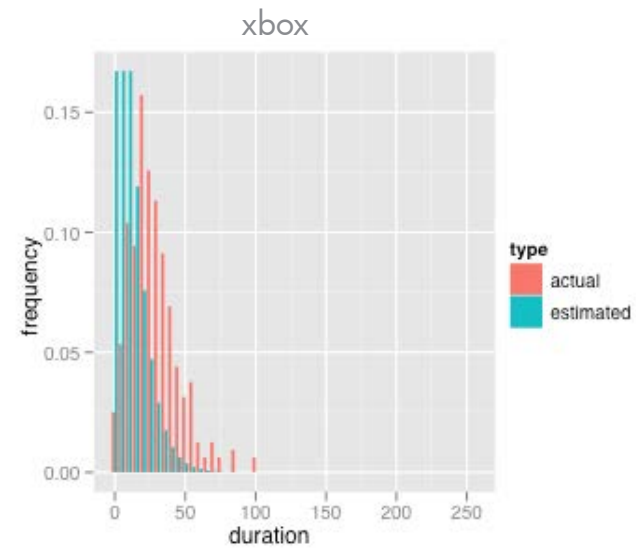
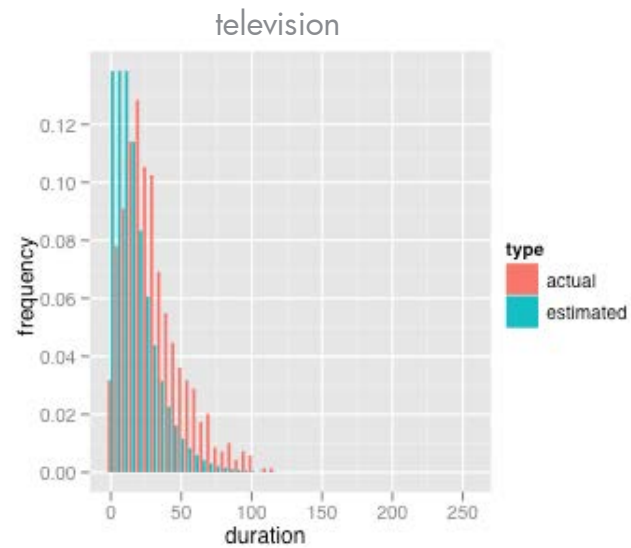
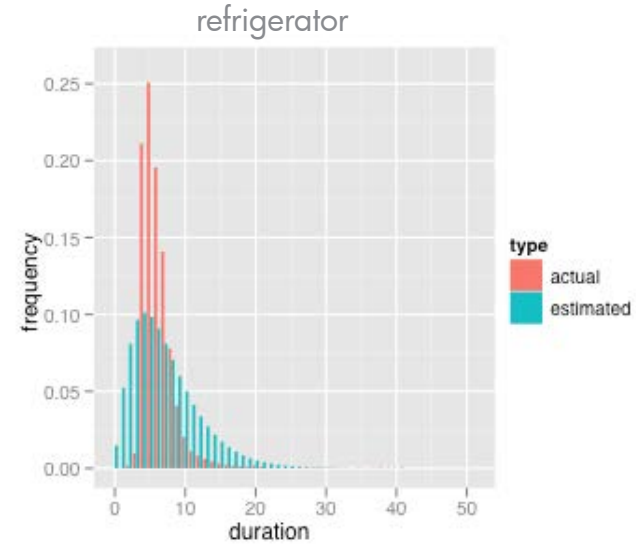
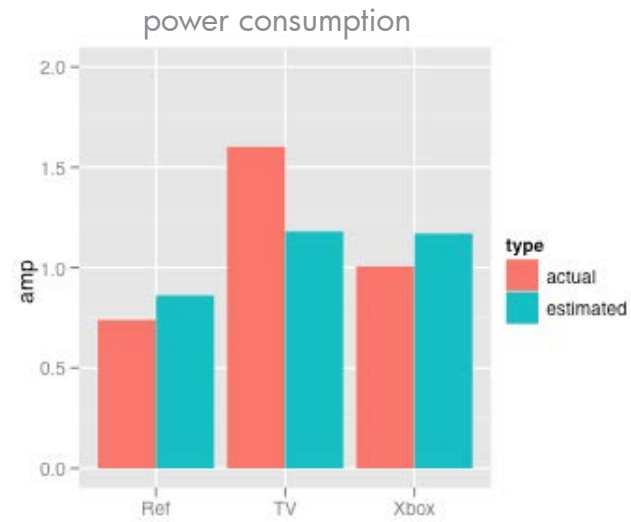
FHSMM – EM ITERATION 0



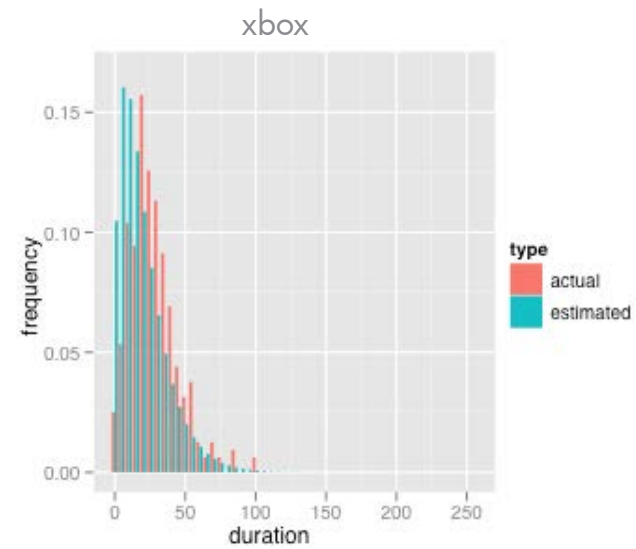
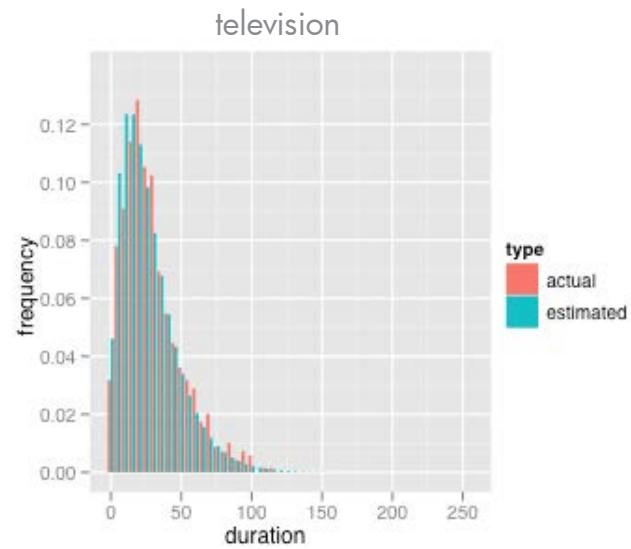
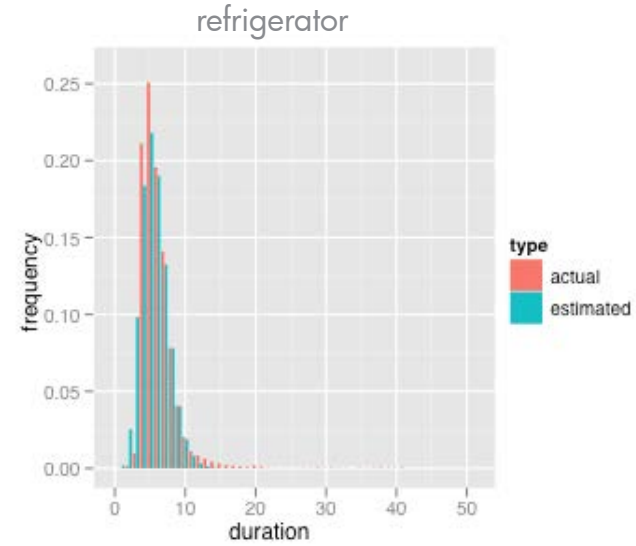
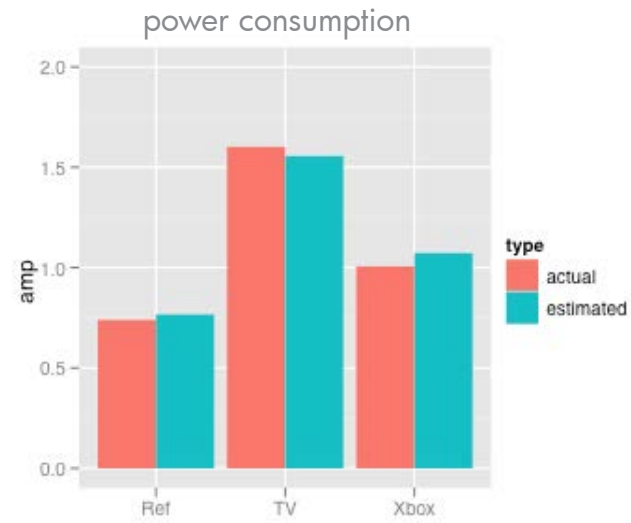
FHSMM – EM ITERATION 1



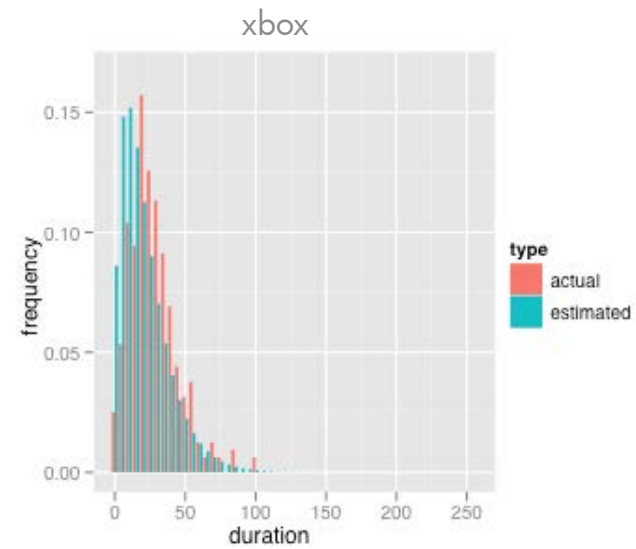
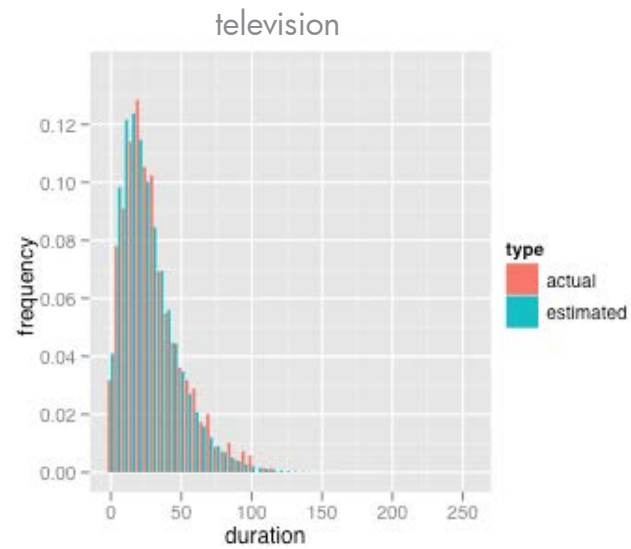
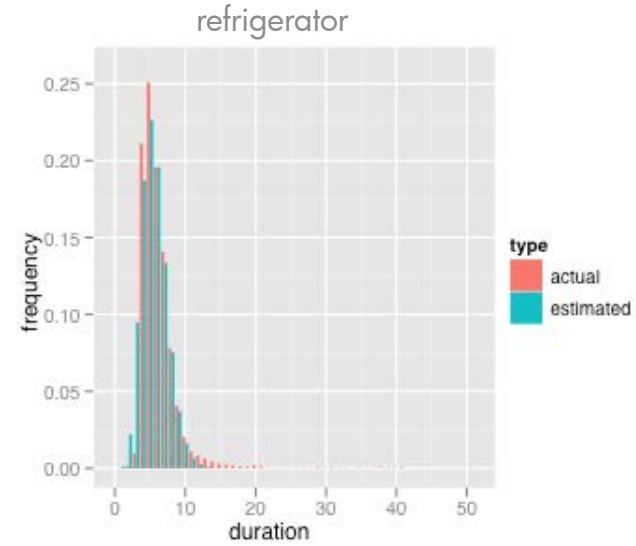
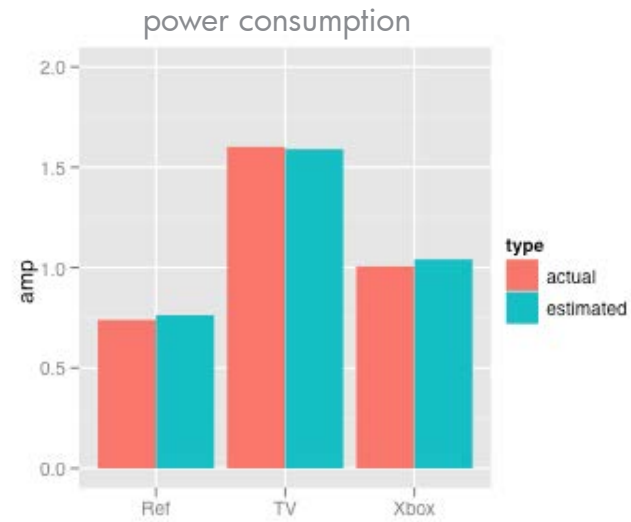
FHSMM – EM ITERATION 5



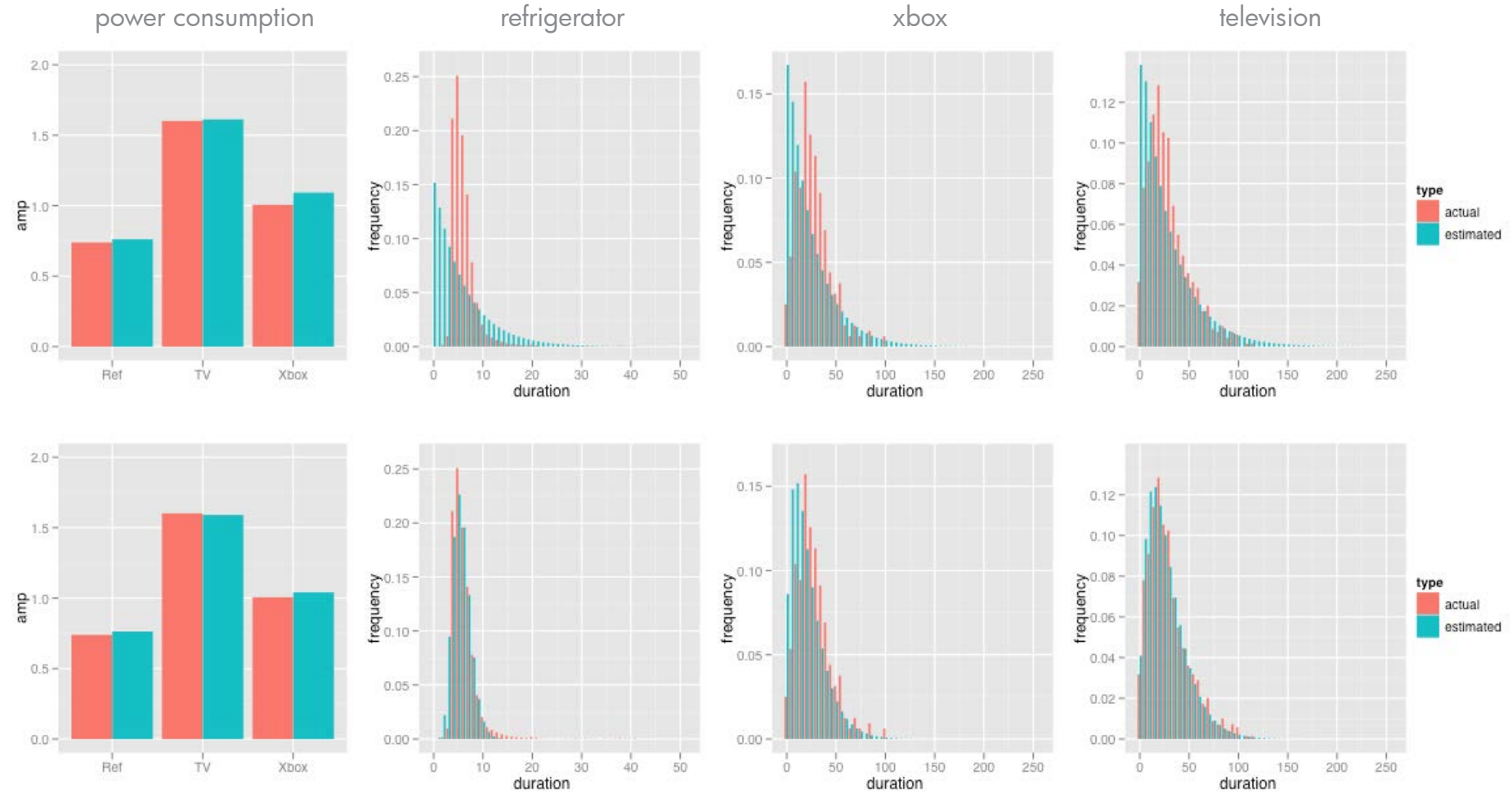
FHSMM – EM ITERATION 10



FHSMM – EM ITERATION 20



FHMM vs. FHSMM

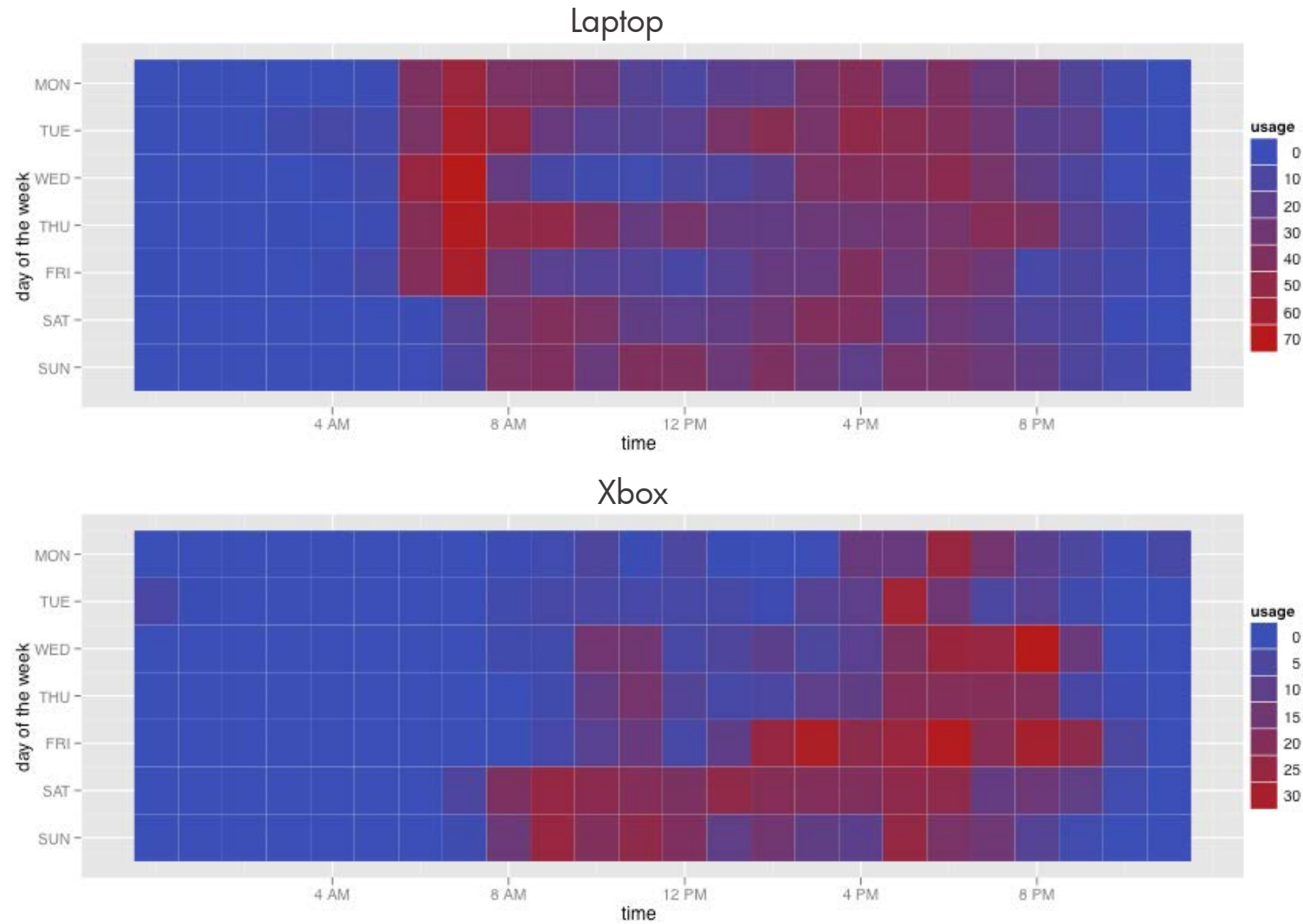


CHALLENGE: MODELING DEPENDENCIES

- There are many factors which affect the usage of appliances
- There can be additional contextual features
- Example
 - Weather (e.g. heater, A/C)
 - Day of the week (e.g. more TV on the weekends)
 - Time of day (e.g. more Xbox in the afternoon)
 - Seasons (e.g. more laundry in summer)
 - User's schedule (e.g. more laptop use in early morning)
 - Other appliances (e.g. TV is on when Xbox is in use)

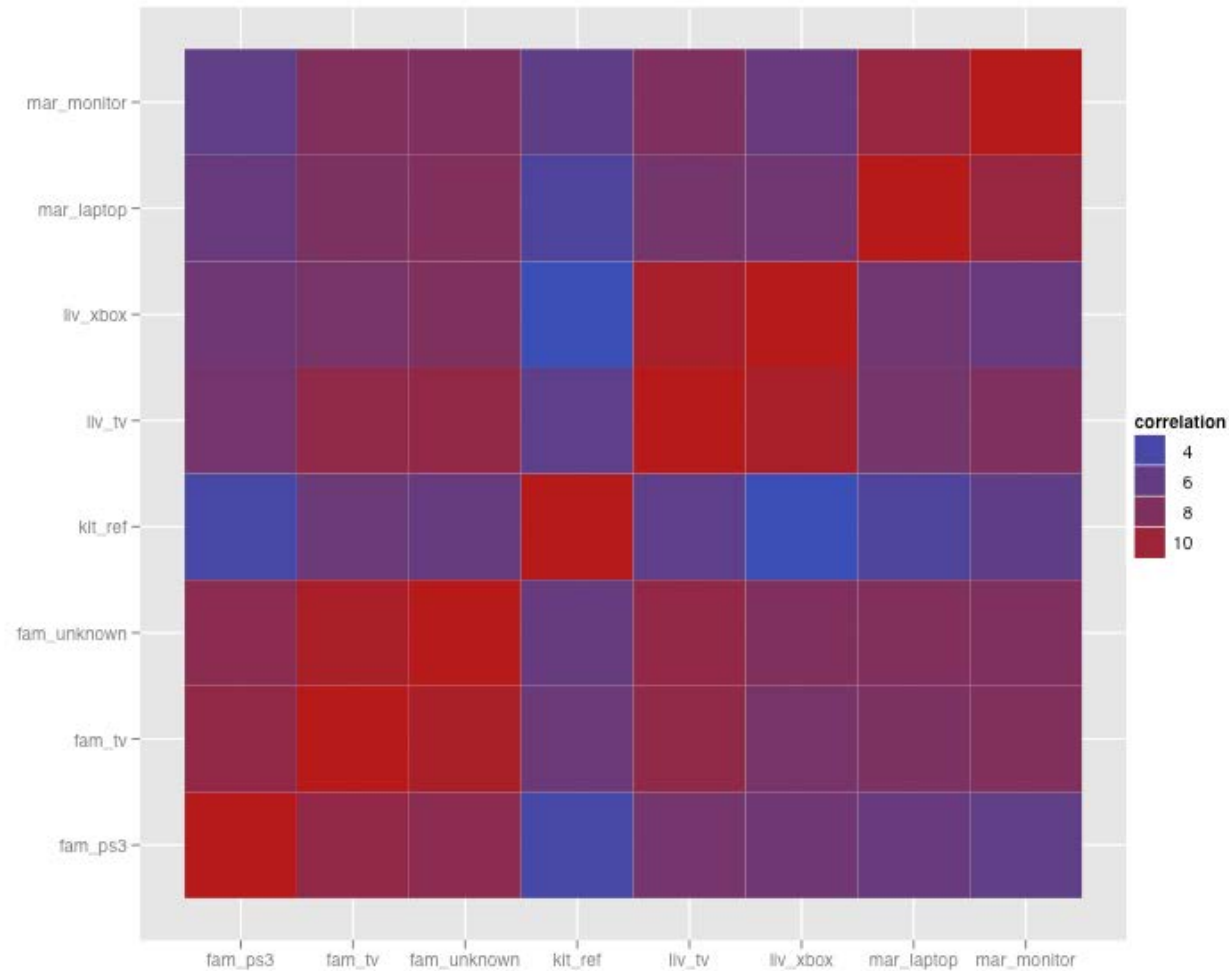
DEPENDENCY 1.

TIME AND DAY OF THE WEEK



DEPENDENCY 2. OTHER APPLIANCES

Correlations between appliances



$T(x, y) = \log$ of the value of chi-square test for appliance x and y

CONDITIONAL FACTORIAL HIDDEN MARKOV MODEL

- In FHMM, the transition probability is constant

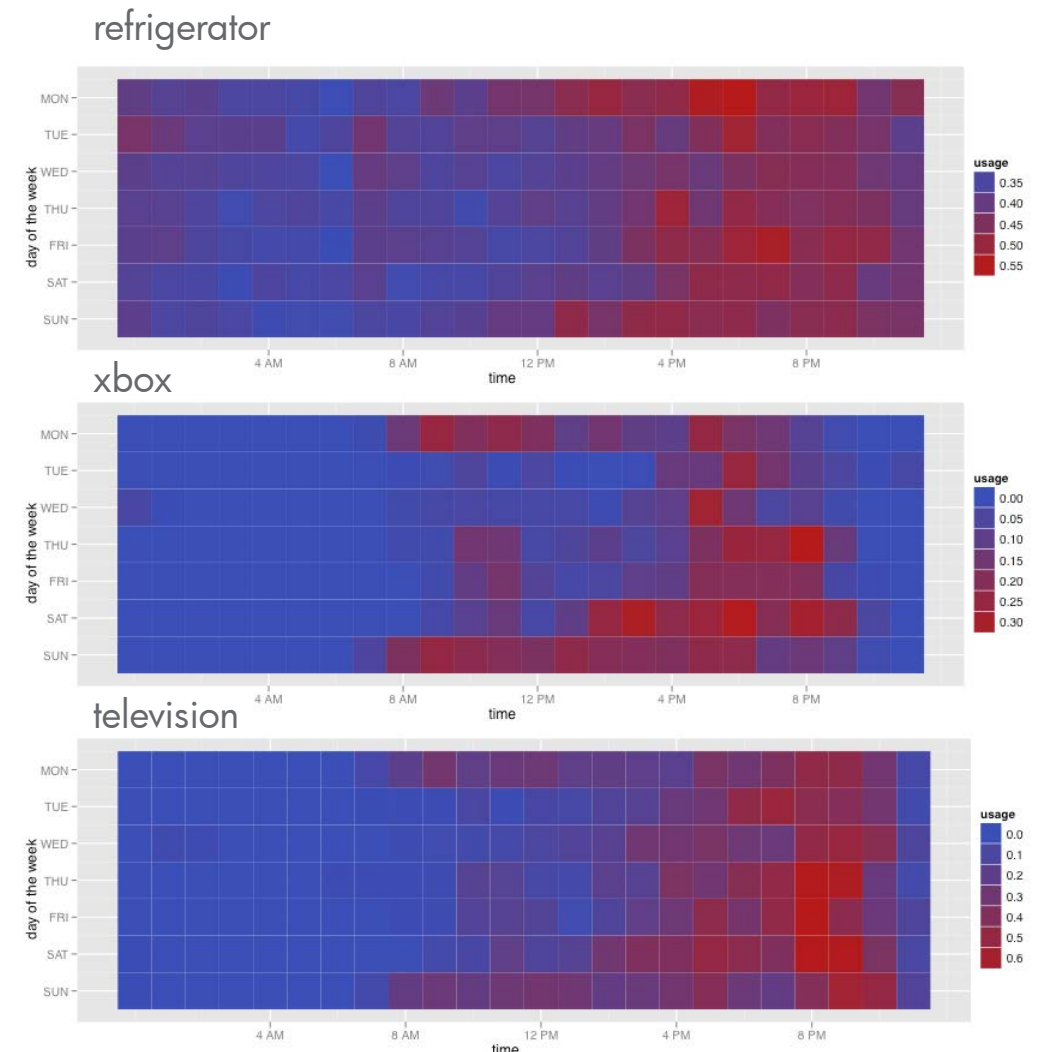
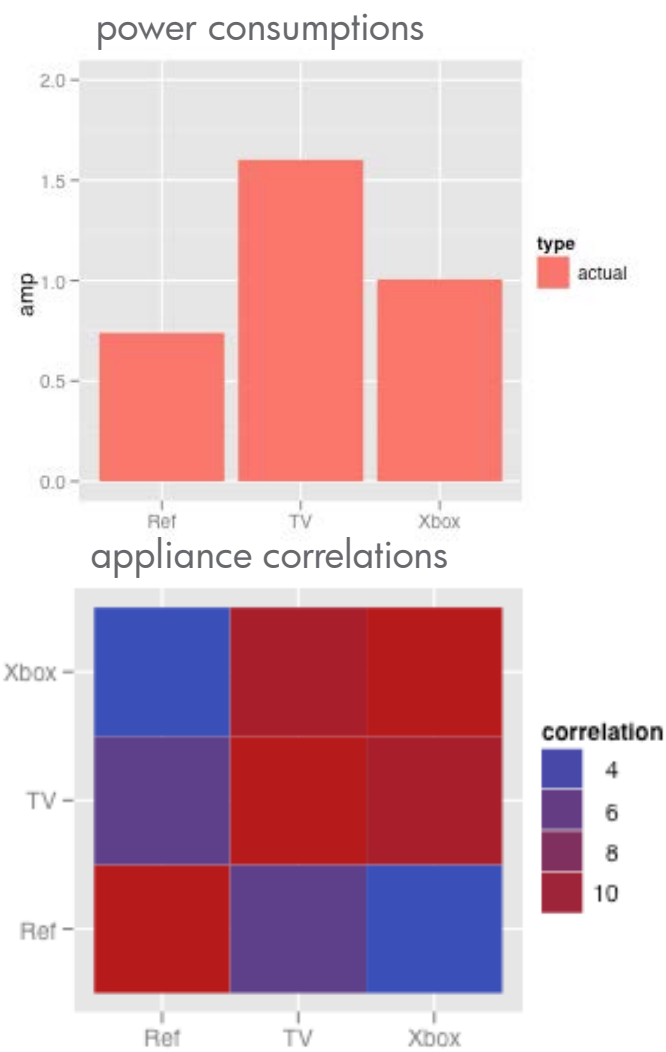
$$Pr(X_{t+1} = q | X_t = p) = \pi_{pq}$$

- In CFHMM, the transition probability depends on several conditions, and it is computed by assuming each condition is independent (Naïve Bayes assumption)

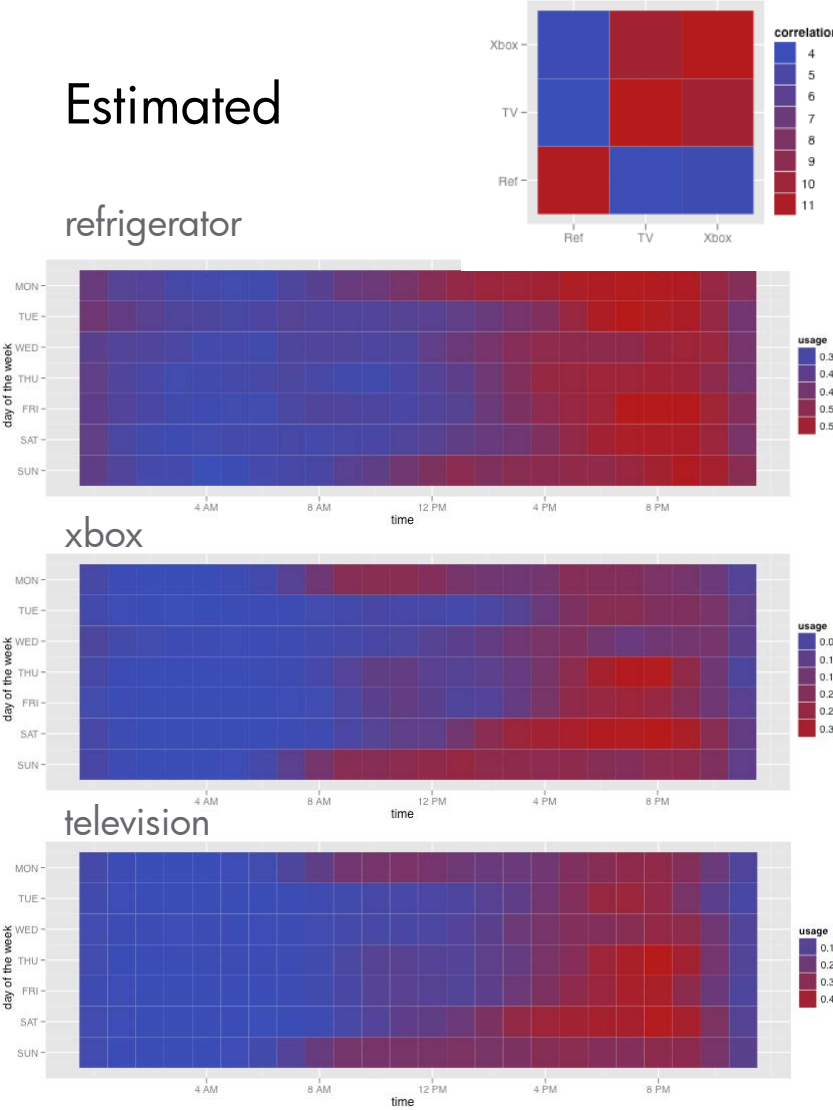
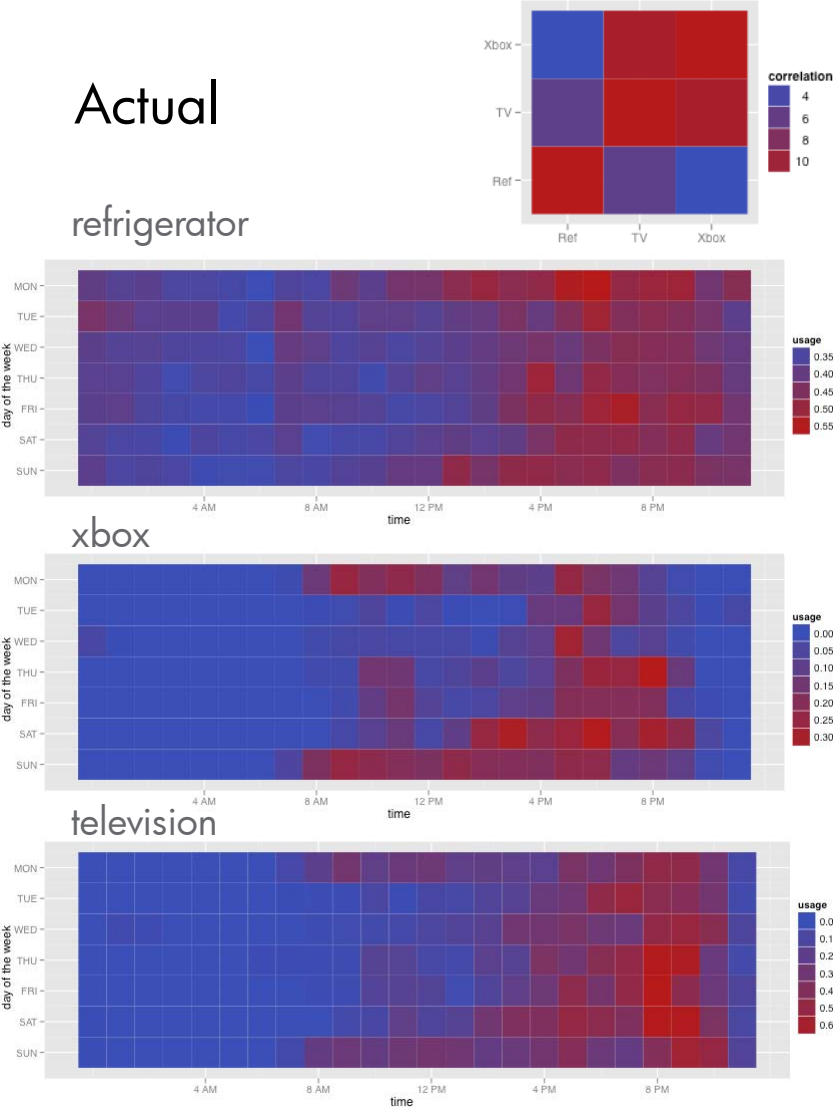
$$Pr(X_{t+1} = q | X_t = p, C_1 = c_{1t}, C_2 = c_{2t}, \dots, C_k = c_{kt}) = \frac{\pi_{pq}}{Z} \prod_{i=1}^k Pr(C_i = c_{it} | X_{t+1} = q)$$

where Z is the normalization factor

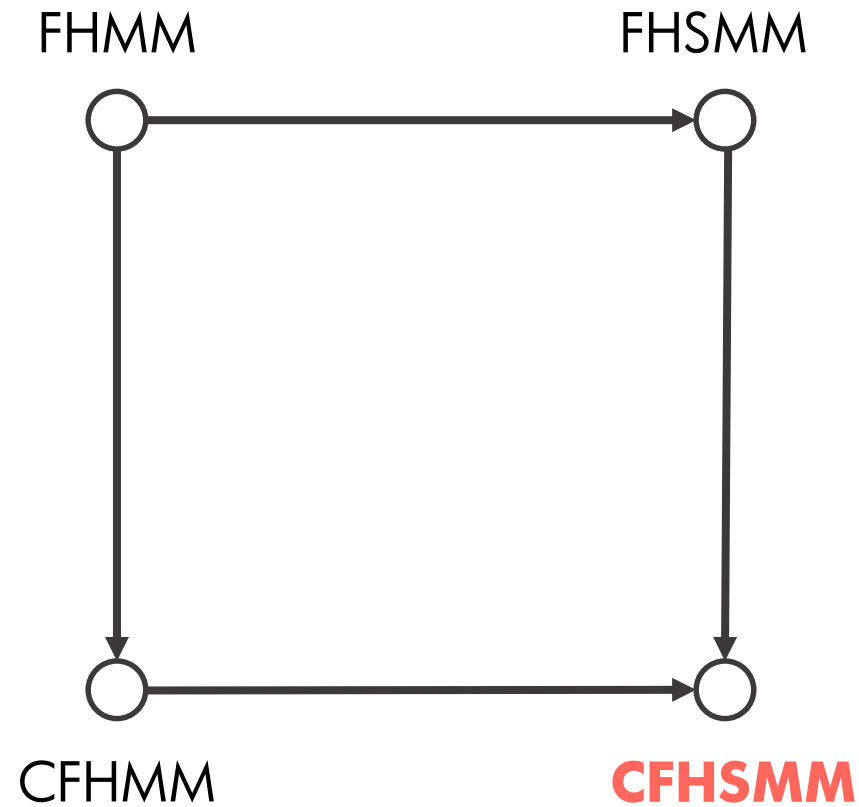
APPLIANCE STATISTICS



CFHMM – RESULT



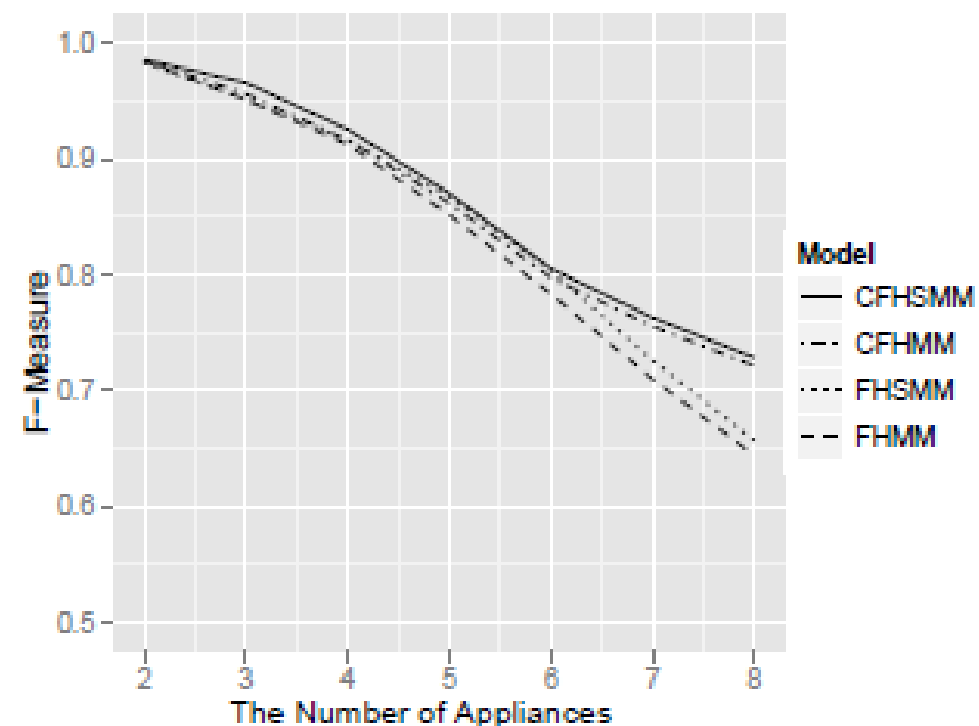
CONDITIONAL FACTORIAL HIDDEN SEMI-MARKOV MODEL



RESULTS

Home ID	Num. of Appliances	FHMM	CFHSMM
Home 1	4	0.983	0.998
Home 2	6	0.899	0.930
Home 3	6	0.859	0.881
Home 4	7	0.625	0.693
Home 5	8	0.713	0.781
Home 6	8	0.641	0.722
Home 7	10	0.796	0.874

Table 4: The evaluations on several homes.



Acknowledgements

Martin Arlitt, Cullen Bash, Gowtham Bellala, Hyungsul Kim, Geoff Lyon,
Martha Lyons, Chandrakant Patel

References

- **[SIAM 2011]** Hyungsul Kim, Manish Marwah, Martin Arlitt, Geoff Lyon and Jiawei Han, "Unsupervised Disaggregation of Low Frequency Power Measurements", SIAM International Conference on Data Mining (SDM 11), Mesa, Arizona, April 28-30, 2011.
- **[BuildSys 2011]** Gowtham Bellala, Manish Marwah, Martin Arlitt, Geoff Lyon, Cullen Bash, "Towards an understanding of campus-scale power consumption." In ACM BuildSys, November 1, 2011, Seattle, WA.
- **[BuildSys 2012]** G. Bellala, M. Marwah, A. Shah, M. Arlitt, C. Bash, "A Finite State Machine-based Characterization of Building Entities for Monitoring and Control", Proceedings of ACM Workshop on Building Systems (Buildsys), Nov 2012.
- **[KDD 2012]** Gowtham Bellala, Manish Marwah, Martin Arlitt, Geoff Lyon, Cullen Bash, "Following the Electrons: Methods for Power Management in Commercial Buildings." In KDD, August 12-16, 2012, Beijing, China.
- **[Hart 1992]** Hart, G.W., "Nonintrusive Appliance Load Monitoring," Proceedings of the IEEE, December 1992, pp. 1870-1891.